



SELECTION OF CONSULTANTS

REQUEST FOR PROPOSALS (DIRECT RFP WITHOUT EOI)

RFP No.: IN-IMC-468265-CS-QCBS

Consultancy Firm for Storm Water Drainage master plan & Preparation of detailed project report (DPR) for urban flood management in Ichalkaranji

**Client: Ichalkaranji Municipal Corporation, Ichalkaranji near Guru Talkies,
Main Road, Ichalkaranji, Kolhapur 416115.**

Country: India

Project: Maharashtra Resilience Development Program (MRDP)

Issued on:26/12/2024

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TABLE OF CONTENT

PART I.....	4
Section 1. Letter of Invitation.....	4
Section 2. Instructions to Consultants and Data Sheet.....	7
Section 3. Technical Proposal – Standard Forms.....	43
Section 4. Financial Proposal - Standard Forms.....	57
Section 5. Eligible Countries.....	69
Section 6. Fraud and Corruption	71
Section 7. Terms of Reference	73
PART II.....	95
Section 8. Conditions of Contract and Contract Forms.....	95

PART I

Section 1. Letter of Invitation

Letter of Invitation Consultancy Firm

Name of Assignment: Project Management and Technical Consultant (PMTc) for urban flood management – Ichalkaranji Municipal Corporation

RFP Reference No.: IN-IMC-468265-CS-QCBS

Location and Date: Ichalkaranji, /12/2024

Dear Mr. /Ms.:

1. The Government of Maharashtra *through the Government of India* (hereinafter called “Borrower”) has applied for financing from the World Bank (the “Bank”) in the form of a “loan” (hereinafter called “loan”) toward the cost of **Maharashtra Resilience Development Program (MRDP)**. Chief Executive Officer, Maharashtra Institution for Transformation (MITRA) intends to apply a portion of the proceeds of this loan to eligible payments under the contract for which this Request for Proposals is issued.
2. The Commissioner, Ichalkaranji Municipal Corporation now invites online proposals to provide the following consulting services (hereinafter called “Services”): **Consulting Services for Project Management and Technical Consultant (PMTc) for urban flood management – Ichalkaranji Municipal Corporation**. More details on the Services are provided in the Terms of Reference (Section 7).
3. A firm will be selected under Quality Cost Based selection through Direct RFP procedures and in a Full Technical Proposal (FTP) format as described in this RFP, in accordance with the Bank’s “Procurement Regulations for IPF Borrowers” September 2023 (“Procurement Regulations”), which can be found at the following website: www.worldbank.org
4. The RFP includes the following documents:
 - Section 1 – Request for Proposals Letter
 - Section 2 - Instructions to Consultants and Data Sheet
 - Section 3 - Technical Proposal (FTP) - Standard Forms
 - Section 4 - Financial Proposal - Standard Forms
 - Section 5 – Eligible Countries
 - Section 6 – Fraud and Corruption

Section 7 - Terms of Reference

Section 8 - Standard Forms of Contract (Lump Sum)

5. Details on the proposal's submission date, time and address are provided in ITC 17.7 and ITC 17.9.

Yours sincerely,

Shri. Omprakash Divate
Municipal Commissioner
Ichalkaranji Municipal Corporation,
Government of Maharashtra.
Near Guru Talkies, Main Road,
Ichalkaranji, Kolhapur 416115.

Section 2. Instructions to Consultants and Data Sheet

TABLE OF CONTENT

A.	General Provisions.....	9
	1. Definitions	9
	2. Introduction	11
	3. Conflict of Interest.....	11
	4. Unfair Competitive Advantage.....	12
	5. Fraud and Corruption	12
	6. Eligibility.....	12
B.	Preparation of Proposals	14
	7. General Considerations.....	14
	8. Cost of Preparation of Proposal.....	14
	9. Language	14
	10. Documents Comprising the Proposal	14
	11. Only One Proposal	14
	12. Proposal Validity	14
	13. Clarification and Amendment of RFP.....	15
	14. Preparation of Proposals Specific Considerations.....	16
	15. Technical Proposal Format and Content	16
	16. Financial Proposal	17
C.	Submission, Opening and Evaluation	17
	17. Submission, Sealing, and Marking of Proposals	17
	18. Confidentiality	19
	19. Opening of Technical Proposals.....	19
	20. Proposals Evaluation	20
	21. Evaluation of Technical Proposals	20
	22. Financial Proposals for QBS	20
	23. Public Opening of Financial Proposals (for QCBS, FBS, and LCS methods).....	20
	24. Correction of Errors.....	21
	25. Taxes	22

26. Combined Quality and Cost Evaluation	22
D. Negotiations and Award	23
27. Negotiations.....	23
28. Conclusion of Negotiations	24
29. Notification of Award.....	24
30. Signing of Contract	25
31. Procurement Related Complaint.....	25
E. Data Sheet	26

Instructions to Consultants

A. General Provisions

1. Definitions	<p>(a) “Affiliate(s)” means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.</p> <p>(b) “Applicable Law” means the laws and any other instruments having the force of law in the Client’s country, or in such other country as may be specified in the Data Sheet, as they may be issued and in force from time to time.</p> <p>(c) “Bank” means the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA).</p> <p>(d) “Borrower” means the Government, Government agency or other entity that signs the <i>[loan/financing/grant¹]</i> agreement with the Bank.</p> <p>(e) “Client” means the implementing agency that signs the Contract for the Services with the selected Consultant.</p> <p>(f) “Client’s Personnel” is as defined in Clause GCC 1.1 (e).</p> <p>(g) “Consultant” means a legally-established professional consulting firm or an entity that may provide or provides the Services to the Client under the Contract.</p> <p>(h) “Contract” means a legally binding written agreement signed between the Client and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).</p> <p>(i) “Data Sheet” means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific country and assignment conditions to supplement, but not to over-write, the provisions of the ITC.</p> <p>(j) “Day” means a calendar day, unless otherwise specified as “Business Day”. A Business Day is any day that is an official working day of the Borrower. It excludes the Borrower’s official public holidays.</p> <p>(k) “Experts” means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).</p>
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¹ [*“loan agreement” term is used for IBRD loans; “financing agreement” is used for IDA credits; and “grant agreement” is used for Recipient-Executed Trust Funds administered by IBRD or IDA*]

	<p>(l) “Government” means the government of the Client’s country.</p> <p>(m) “in writing” means communicated in written form (e.g. by mail, e-mail, fax, including, if specified in the Data Sheet, distributed or received through the electronic-procurement system used by the Client) with proof of receipt.</p> <p>(n) “Joint Venture (JV)” means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.</p> <p>(o) “Key Expert(s)” means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is taken into account in the technical evaluation of the Consultant’s proposal.</p> <p>(p) “ITC” (this Section 2 of the RFP) means the Instructions to Consultants that provides the Consultants with all information needed to prepare their Proposals.</p> <p>(q) “Non-Key Expert(s)” means an individual professional provided by the Consultant or its Sub-consultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.</p> <p>(r) “Proposal” means the Technical Proposal and the Financial Proposal of the Consultant.</p> <p>(s) “RFP” means the Request for Proposals to be prepared by the Client for the selection of Consultants, based on the SPD - RFP.</p> <p>(t) “Services” means the work to be performed by the Consultant pursuant to the Contract.</p> <p>(u) “SPD - RFP” means the Standard Procurement Document - Request for Proposals, which must be used by the Client as the basis for the preparation of the RFP.</p> <p>(v) “Sub-consultant” means an entity to whom the Consultant intends to subcontract any part of the Services while the Consultant remains responsible to the Client during the whole performance of the Contract.</p> <p>(w) “Terms of Reference (TORs)” (this Section 7 of the RFP) means the Terms of Reference that explains the objectives, scope of work, activities, and tasks to be performed, respective responsibilities of the Client and the Consultant, and expected results and deliverables of the assignment.</p>
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<p>Introduction</p>	<p>2.1 The Client named in the Data Sheet intends to select a Consultant from those listed in the Request for Proposals (RFP), in accordance with the method of selection specified in the Data Sheet.</p> <p>2.2 The Consultants are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the Data Sheet, for consulting services required for the assignment named in the Data Sheet. The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.</p> <p>2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-proposal conference if one is specified in the Data Sheet. Attending any such pre-proposal conference is optional and is at the Consultants' expense.</p> <p>2.4 The Client will timely provide, at no cost to the Consultants, the inputs, relevant project data, and reports required for the preparation of the Consultant's Proposal as specified in the Data Sheet.</p>
<p>Conflict of Interest</p>	<p>3.1 The Consultant is required to provide professional, objective, and impartial advice, at all times holding the Client's interest's paramount, strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.</p> <p>3.2 The Consultant has an obligation to disclose to the Client any situation of actual or potential conflict that impacts its capacity to serve the best interest of its Client. Failure to disclose such situations may lead to the disqualification of the Consultant or the termination of its Contract and/or sanctions by the Bank.</p> <p>3.2.1 Without limitation on the generality of the foregoing, the Consultant shall not be hired under the circumstances set forth below:</p>
<p>a. Conflicting Activities</p>	<p>(i) <u>Conflict between consulting activities and procurement of goods, works or non-consulting services</u>: a firm that has been engaged by the Client to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.</p>

b. Conflicting Assignments	(ii) <u>Conflict among consulting assignments</u> : a Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant for the same or for another Client.
c. Conflicting Relationships	(iii) <u>Relationship with the Client's staff</u> : a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff of the Borrower (or of the Client, or of implementing agency, or of a recipient of a part of the Bank's financing) who are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Bank throughout the selection process and the execution of the Contract.
Unfair Competitive Advantage	4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Client shall indicate in the Data Sheet and make available to all Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.
Fraud and Corruption	5.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Section 6. 5.2 In further pursuance of this policy, Consultants shall permit and shall cause their agents (where declared or not), subcontractors, sub consultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any shortlisting process, Proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
Eligibility	6.1 The Bank permits consultants (individuals and firms, including Joint Ventures and their individual members) from all countries to offer consulting services for Bank-financed projects. 6.2 Furthermore, it is the Consultant's responsibility to ensure that its Experts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by the Bank in the applicable Procurement Regulations. 6.3 As an exception to the foregoing ITC 6.1 and ITC 6.2 above:

a. Sanctions	6.3.1 A Consultant that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework as described in Section VI, Fraud and Corruption, paragraph 2.2 d., shall be ineligible to be shortlisted for, submit proposals for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the Data Sheet .
b. Prohibitions	6.3.2 Firms and individuals of a country or goods manufactured in a country may be ineligible if so indicated in Section 5 (Eligible Countries) and: (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the provision of Services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
c. Restrictions for State-Owned Enterprises	6.3.3 State-owned enterprises or institutions in the Borrower's country may be eligible to compete and be awarded a contract only if they can establish, in a manner acceptable to the Bank, that they: (i) are legally and financially autonomous, (ii) operate under commercial law, and (iii) are not under supervision of the Client.
d. Restrictions for Public Employees	6.3.4 Government officials and civil servants of the Borrower's country are not eligible to be included as Experts, individuals, or members of a team of Experts in the Consultant's Proposal unless: (i) the services of the government official or civil servant are of a unique and exceptional nature, or their participation is critical to project implementation; and (ii) their hiring would not create a conflict of interest, including any conflict with employment or other laws, regulations, or policies of the Borrower.

B. Preparation of Proposals	
7. General Considerations	7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
8. Cost of Preparation of Proposal	8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Client is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.
9. Language	9.1 The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and the Client, shall be written in the language(s) specified in the Data Sheet .
10. Documents Comprising the Proposal	<p>10.1 The Proposal shall comprise the documents and forms listed in the Data Sheet.</p> <p>10.2 If specified in the Data Sheet, the Consultant shall include a statement of an undertaking of the Consultant to observe, in competing for and executing a contract, the Client country's laws against fraud and corruption (including bribery).</p> <p>10.3 The Consultant shall furnish information on commissions, gratuities, and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal submission form (Section 4).</p>
11. Only One Proposal	11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude a Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the Data Sheet .
12. Proposal Validity	12.1 Proposals shall remain valid until the date specified in the Data Sheet or any extended date if amended by the Client in accordance with ITC 13.1.1.

	<p>12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.</p> <p>12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation, and may be subject to sanctions in accordance with ITC 5.</p>
a. Extension of Proposal Validity	<p>12.4 The Client will make its best effort to complete the negotiations and award the contract prior to the date of expiry of the Proposal validity. However, should the need arise, the Client may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.</p> <p>12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts, except as provided in ITC 12.7.</p> <p>12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated.</p>
b. Substitution of Key Experts at Validity Extension	<p>12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall seek to substitute another Key Expert. The Consultant shall provide a written adequate justification and evidence satisfactory to the Client together with the substitution request. In such case, a substitute Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluation score, however, will remain to be based on the evaluation of the CV of the original Key Expert.</p> <p>12.8 If the Consultant fails to provide a substitute Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to the Client, such Proposal will be rejected with the prior Bank's no objection.</p>
c. Sub-Contracting	<p>12.9 The Consultant shall not subcontract the whole of the Services.</p>
13. Clarification and Amendment of RFP	<p>13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the Data Sheet before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Client's address indicated in the Data Sheet. The Client will</p>

	<p>respond in writing, or by standard electronic means, and will send written copies of the response (including an explanation of the query but without identifying its source) to all Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:</p> <p>13.1.1 At any time before the proposal submission deadline, the Client may amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all Consultants and will be binding on them. The Consultants shall acknowledge receipt of all amendments in writing.</p> <p>13.1.2 If the amendment is substantial, the Client may extend the proposal submission deadline to give the Consultants reasonable time to take an amendment into account in their Proposals.</p> <p>13.2 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.</p>
<p>14. Preparation of Proposals Specific Considerations</p>	<p>14.1 While preparing the Proposal, the Consultant must give particular attention to the following:</p> <p>14.1.1 If a Consultant considers that it may enhance its expertise for the assignment by associating with other consultants in the form of a Joint Venture or as Sub-consultants, it may do so.</p> <p>14.1.2 The Client may indicate in the Data Sheet the estimated Key Experts' time input (expressed in person-month) or the Client's estimated total cost of the assignment, but not both. This estimate is indicative and the Proposal shall be based on the Consultant's own estimates for the same.</p> <p>14.1.3 If stated in the Data Sheet, the Consultant shall include in its Proposal at least the same time input (in the same unit as indicated in the Data Sheet) of Key Experts, failing which the Financial Proposal will be adjusted for the purpose of comparison of proposals and decision for award in accordance with the procedure in the Data Sheet.</p> <p>14.1.4 For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input is not disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the Data Sheet, and the Financial Proposal shall not exceed this budget.</p>

<p>15. Technical Proposal Format and Content</p>	<p>15.1 The Technical Proposal shall be prepared using the Standard Forms provided in Section 3 of the RFP and shall comprise the documents listed in the Data Sheet. The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be declared non-responsive.</p> <p>15.1.1 Consultant shall not propose alternative Key Experts. Only one CV shall be submitted for each Key Expert position. Failure to comply with this requirement will make the Proposal non-responsive.</p> <p>15.2 Depending on the nature of the assignment, the Consultant is required to submit a Full Technical Proposal (FTP), or a Simplified Technical Proposal (STP) as indicated in the Data Sheet and using the Standard Forms provided in Section 3 of the RFP.</p>
<p>16. Financial Proposal</p>	<p>16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) reimbursable expenses indicated in the Data Sheet.</p>
<p>a. Price Adjustment</p>	<p>16.2 For assignments with a duration exceeding 18 months, a price adjustment provision for foreign and/or local inflation for remuneration rates applies if so stated in the Data Sheet.</p>
<p>b. Taxes</p>	<p>16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract unless stated otherwise in the Data Sheet. Information on taxes in the Client's country is provided in the Data Sheet.</p>
<p>c. Currency of Proposal</p>	<p>16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the Data Sheet. If indicated in the Data Sheet, the portion of the price representing local cost shall be stated in the national currency.</p>
<p>d. Currency of Payment</p>	<p>16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.</p>
<p>C. Submission, Opening and Evaluation</p>	
<p>17. Submission, Sealing, and Marking of Proposals</p>	<p>17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with ITC 10 (Documents Comprising Proposal). Consultants shall mark as "CONFIDENTIAL" information in their Proposals which is confidential to their business. This may include proprietary</p>

	<p>information, trade secrets or commercial or financially sensitive information. The submission can be done by mail or by hand. If specified in the Data Sheet, the Consultant has the option of submitting its Proposals electronically.</p>
	<p>17.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for both the Technical Proposal and, if applicable, the Financial Proposal and shall initial all pages of both. The authorization shall be in the form of a written power of attorney attached to the Technical Proposal.</p> <p>17.2.1 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.</p> <p>17.3 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.</p> <p>17.4 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in the Data Sheet. All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.</p> <p>17.5 The original and all the copies of the Technical Proposal shall be placed inside a sealed envelope clearly marked "TECHNICAL PROPOSAL", "[Name of the Assignment]", [reference number], [name and address of the Consultant], and with a warning "DO NOT OPEN UNTIL [INSERT THE DATE AND THE TIME OF THE TECHNICAL PROPOSAL SUBMISSION DEADLINE]."</p> <p>17.6 Similarly, the original Financial Proposal (if required for the applicable selection method) and its copies shall be placed inside of a separate sealed envelope clearly marked "FINANCIAL PROPOSAL" "[Name of the Assignment], [reference number], [name and address of the Consultant]", and with a warning "DO NOT OPEN WITH THE TECHNICAL PROPOSAL."</p> <p>17.7 The sealed envelopes containing the Technical and Financial Proposals shall be placed into one outer envelope and sealed. This outer envelope shall be addressed to the Client and bear the submission address, RFP reference number, the name of the assignment, the Consultant's name and the address, and shall be clearly marked "Do Not Open Before [insert the time and date of the submission deadline indicated in the Data Sheet]".</p> <p>17.8 If the envelopes and packages with the Proposal are not sealed and marked as required, the Client will assume no</p>

	<p>responsibility for the misplacement, loss, or premature opening of the Proposal.</p> <p>17.9 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by the Client no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by the Client after the deadline shall be declared late and rejected, and promptly returned unopened.</p>
<p>18. Confidentiality</p>	<p>18.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Client on any matter related to its Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the Notification of Intention to Award the Contract. Exceptions to this ITC are where the Client notifies Consultants of the results of the evaluation of the Technical Proposals.</p> <p>18.2 Any attempt by Consultants or anyone on behalf of the Consultant to influence improperly the Client in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal, and may be subject to the application of prevailing Bank's sanctions procedures.</p> <p>18.3 Notwithstanding the above provisions, from the time of the Proposals' opening to the time of Contract award publication, if a Consultant wishes to contact the Client or the Bank on any matter related to the selection process, it shall do so only in writing.</p>
<p>19. Opening of Technical Proposals</p>	<p>19.1 The Client's evaluation committee shall conduct the opening of the Technical Proposals in the presence of the Consultants' authorized representatives who choose to attend (in person, or online if this option is offered in the Data Sheet). The opening date, time and the address are stated in the Data Sheet. The envelopes with the Financial Proposal shall remain sealed and shall be securely stored with a reputable public auditor or independent authority until they are opened in accordance with ITC 23.</p> <p>19.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the Data Sheet.</p>

<p>20. Proposals Evaluation</p>	<p>20.1 Subject to provision of ITC 15.1, the evaluators of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and the Bank issues its “no objection”, if applicable.</p> <p>20.2 The Consultant is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under ITC 12.7. While evaluating the Proposals, the Client will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.</p>
<p>21. Evaluation of Technical Proposals</p>	<p>21.1 The Client’s evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and the RFP, applying the evaluation criteria, sub-criteria, and point system specified in the Data Sheet. Each responsive Proposal will be given a technical score. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if it fails to achieve the minimum technical score indicated in the Data Sheet.</p>
<p>22. Financial Proposals for QBS</p>	<p>22.1 Following the ranking of the Technical Proposals, when the selection is based on quality only (QBS), the top-ranked Consultant is invited to negotiate the Contract.</p> <p>22.2 If Financial Proposals were invited together with the Technical Proposals, only the Financial Proposal of the technically top-ranked Consultant is opened by the Client’s evaluation committee. All other Financial Proposals are returned unopened after the Contract negotiations are successfully concluded and the Contract is signed.</p>
<p>23. Public Opening of Financial Proposals (for QCBS, FBS, and LCS methods)</p>	<p>23.1 After the technical evaluation is completed and the Bank has issued its no objection (if applicable), the Client shall notify those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, advising them the following:</p> <ul style="list-style-type: none"> (i) their Proposal was not responsive to the RFP and TOR or did not meet the minimum qualifying technical score; (ii) provide information relating to the Consultant’s overall technical score, as well as scores obtained for each criterion and sub-criterion; (iii) their Financial Proposals will be returned unopened after completing the selection process and Contract signing; and (iv) notify them of the date, time and location of the public opening of the Financial Proposals and invite them to

	<p>attend.</p> <p>23.2 The Client shall simultaneously notify in writing those Consultants whose Proposals were considered responsive to the RFP and TOR, and that have achieved the minimum qualifying technical score, advising them the following:</p> <ul style="list-style-type: none"> (i) their Proposal was responsive to the RFP and TOR and met the minimum qualifying technical score; (ii) provide information relating to the Consultant's overall technical score, as well as scores obtained for each criterion and sub-criterion; (iii) their Financial Proposal will be opened at the public opening of Financial Proposals; and (iv) notify them of the date, time and location of the public opening and invite them for the opening of the Financial Proposals. <p>23.3 The opening date shall be no less than ten (10) Business Days from the date of notification of the results of the technical evaluation, described in ITC 23.1 and 23.2. However, if the Client receives a complaint on the results of the technical evaluation within the ten (10) Business Days, the opening date shall be subject to ITC 31.1.</p> <p>23.4 The Consultant's attendance at the opening of the Financial Proposals (in person, or online if such option is indicated in the Data Sheet) is optional and is at the Consultant's choice.</p> <p>23.5 The Financial Proposals shall be opened publicly by the Client's evaluation committee in the presence of the representatives of the Consultants and anyone else who chooses to attend. Any interested party who wishes to attend this public opening should contact the client as indicated in the Data Sheet. Alternatively, a notice of the public opening of Financial Proposals may be published on the Client's website, if available. At the opening, the names of the Consultants, and the overall technical scores, including the break-down by criterion, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the total prices read aloud and recorded. Copies of the record shall be sent to all Consultants who submitted Proposals and to the Bank.</p>
<p>24. Correction of Errors</p>	<p>24.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.</p>

<p>a. Time-Based Contracts</p>	<p>24.1.1 If a Time-Based contract form is included in the RFP, the Client’s evaluation committee will (a) correct any computational or arithmetical errors, and (b) adjust the prices if they fail to reflect all inputs included for the respective activities or items included in the Technical Proposal. In case of discrepancy between (i) a partial amount (sub-total) and the total amount, or (ii) between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between words and figures, the former will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and the Client’s evaluation committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.</p>
<p>b. Lump-Sum Contracts</p>	<p>24.1.2 If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical corrections nor price adjustments shall be made. The total price, net of taxes understood as per ITC 25, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price. Where there is a discrepancy between the amount in words and the amount figures, the amount in words shall prevail.</p>
<p>25. Taxes</p>	<p>25.1 The Client’s evaluation of the Consultant’s Financial Proposal shall exclude taxes and duties in the Client’s country in accordance with the instructions in the Data Sheet.</p>
<p>26. Combined Quality and Cost Evaluation</p>	
<p>a. Quality and Cost-Based Selection (QCBS)</p>	<p>26.1 In the case of QCBS, the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the Data Sheet. The Consultant with the Most Advantageous Proposal, which is the Proposal that achieves the highest combined technical and financial scores, will be invited for negotiations.</p>
<p>b. Fixed-</p>	
<p>Budget Selection (FBS)</p>	<p>26.2 In the case of FBS, those Proposals that exceed the budget indicated in ITC 14.1.4 of the Data Sheet shall be rejected.</p> <p>26.3 The Client will select the Consultant with the Most Advantageous Proposal, which is the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, and invite such Consultant to negotiate the Contract.</p>

c. Least-Cost Selection	26.4 In the case of Least-Cost Selection (LCS), the Client will select the Consultant with the Most Advantageous Proposal, which is the Proposal with the lowest evaluated total price among those Proposals that achieved the minimum qualifying technical score, and invite such a Consultant to negotiate the Contract.
D. Negotiations and Award	
27. Negotiations	<p>27.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant’s representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.</p> <p>27.2 The Client shall prepare minutes of negotiations that are signed by the Client and the Consultant’s authorized representative.</p>
a. Availability of Key Experts	<p>27.3 The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with ITC 12. Failure to confirm the Key Experts’ availability may result in the rejection of the Consultant’s Proposal and the Client proceeding to negotiate the Contract with the next-ranked Consultant.</p> <p>27.4 Notwithstanding the above, the substitution of Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.</p>
b. Technical Negotiations	27.5 The negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, the Client’s inputs, the special conditions of the Contract, and finalizing the “Description of Services” part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.
c. Financial Negotiations	<p>27.6 The negotiations include the clarification of the Consultant’s tax liability in the Client’s country and how it should be reflected in the Contract.</p> <p>27.7 If the selection method included cost as a factor in the evaluation, the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.</p> <p>27.8 In the case of a Time-Based contract, unit rates negotiations shall not take place, except when the offered Key Experts and Non-Key Experts’ remuneration rates are much higher than the</p>

	<p>typically charged rates by consultants in similar contracts. In such case, the Client may ask for clarifications and, if the fees are very high, ask to change the rates after consultation with the Bank. The format for</p> <p>(i) providing information on remuneration rates in the case of Quality Based Selection; and (ii) clarifying remuneration rates' structure under this Clause, is provided in Appendix A to the Financial Form FIN-3: Financial Negotiations – Breakdown of Remuneration Rates.</p>
<p>28. Conclusion of Negotiations</p>	<p>28.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Client and the Consultant's authorized representative.</p> <p>28.2 If the negotiations fail, the Client shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, the Client shall terminate the negotiations informing the Consultant of the reasons for doing so. After having obtained the Bank's no objection, the Client will invite the next-ranked Consultant to negotiate a Contract. Once the Client commences negotiations with the next-ranked Consultant, the Client shall not reopen the earlier negotiations.</p>
<p>29. Notification of Award</p>	<p>29.1 Upon expiry of the Standstill Period, specified in ITC 30.1 or any extension thereof, and upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Client shall, send a notification of award to the successful Consultant, confirming the Client's intention to award the Contract to the successful Consultant and requesting the successful Consultant to sign and return the draft negotiated Contract within eight (8) Business Days from the date of receipt of such notification. If specified in the Data Sheet, the client shall simultaneously request the successful Consultant to submit, within eight (8) Business Days, the Beneficial Ownership Disclosure Form.</p> <p><u>Contract Award Notice</u></p> <p>Within ten (10) Business Days from the date of notification of award such request, the Client shall publish the Contract Award Notice which shall contain, at a minimum, the following information:</p> <ul style="list-style-type: none"> (a) name and address of the Client; (b) name and reference number of the contract being awarded, and the selection method used; (c) names of the consultants that submitted proposals, and their proposal prices as read out at financial proposal opening, and as evaluated; (d) names of all Consultants whose Proposals were rejected or were not evaluated, with the reasons therefor;

	<p>(e) the name of the successful consultant, the final total contract price, the contract duration and a summary of its scope; and</p> <p>(f) successful Consultant's Beneficial Ownership Disclosure Form, if specified in Data Sheet ITC 32.1.</p> <p>29.2 The Contract Award Notice shall be published on the Client's website with free access if available, or in at least one newspaper of national circulation in the Client's Country, or in the official gazette. The Client shall also publish the contract award notice in UNDB online.</p>
<p>30. Signing of Contract</p>	<p>30.1 The Contract shall be signed prior to the expiry date of the Proposal validity and promptly after expiry of the Standstill Period, specified in ITC 30.1 or any extension thereof, and upon satisfactorily addressing any complaint that has been filed within the Standstill Period.</p> <p>30.2 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.</p>
<p>31. Procurement Related Complaint</p>	<p>31.1 The procedures for making a Procurement-related Complaint are as specified in the Data Sheet.</p>

Section 2. Instructions to Consultants

E. Data Sheet

ITC Reference	A. General
1 (m)	<p>Electronic –Procurement System</p> <p>The Client shall use the following electronic-procurement system to manage this Request for Proposal (RFP) process:</p> <p>https://mahatenders.gov.in</p> <p>The electronic-procurement system shall be used to manage the following part of the RFP process:</p> <p>Invitation of Bids;</p> <p>Communicating Amendments if any;</p> <p>Seeking Clarifications on RFP;</p> <p>Communicating response of the Client on clarification sought by the Consultants;</p> <p>Submission of RFP / its withdrawal and resubmission;</p> <p>Opening of technical and financial bids (the proposals will be first scrutinized at ULB or PIU level and then forwarded to State Level Technical Committee);</p> <p>Notification of award</p>
2.1	<p>Name of the Client:</p> <p>The Commissioner, Ichalkaranji Municipal Corporation</p> <p>Method of selection: Quality and Cost Based Selection (QCBS) Direct RFP as per the Procurement Regulations for IPF Borrowers of September 2023 (Fifth Edition) (available on www.worldbank.org)</p>
2.2	<p>Financial Proposal to be submitted together with Technical Proposal:</p> <p>Yes.</p> <p>The name of the assignment is: “Consultancy Firm for Storm Water Drainage master plan & Preparation of detailed project reports (DPRs) for urban flood management in Ichalkaranji”.</p>

2.3	<p>A pre-proposal conference will be held: Yes,</p> <p>Date of pre-proposal conference: 03/01/2025</p> <p>Time: 11:00 AM IST</p> <p>Address: Virtual Link will be shared on IMC website and MITRA website</p> <p>Telephone: 0230- 421451 to 55</p> <p>E-mail: ichalkaranjinp@gmail.com</p> <p>Contact person/conference coordinator: Mr. Mahendra Kshirsagar, 8623951888, City Engineer, Ichalkaranji</p>
2.4	<p>The Client will provide the following inputs, project data, reports, etc. to facilitate the preparation of the Proposal:</p> <p>1) Experts Study Committee Report - “A Report on Floods 2019 (Krishna Sub-Basin) by Experts Study Committee” (Analysis, Causes and Remedies” which is available on WRD Maharashtra web site https://wrd.maharashtra.gov.in</p> <p>2) <u>Flood Frequency Analysis of Upper Krishna Basin Upto Almatti</u></p> <p>3) <u>GIS based information available from PM Gatishakti</u></p>
6.3.1	<p>A list of debarred firms and individuals is available at the Bank’s external website: www.worldbank.org/debarr</p>
<p>B. Preparation of Proposals</p>	
9.1	<p>This RFP has been issued in the English language.</p> <p>Proposals shall be submitted in English language.</p> <p>All correspondence exchange shall be in English language.</p>
10.1	<p>The Proposal shall comprise the following. The Technical and Financial Proposals shall be submitted online in the e-procurement system in separate folders:</p> <p><u>For FULL TECHNICAL PROPOSAL (FTP):</u></p> <p>The Technical Proposal comprising:</p> <p>(1) Power of Attorney to sign the Proposal</p> <p>(2) TECH-1</p> <p>(3) TECH-2</p> <p>(4) TECH-3</p>

	<p>(5) TECH-4</p> <p>(6) TECH-5</p> <p>(7) TECH-6</p> <p>The Financial Proposal comprising:</p> <p>(1) FIN-1</p> <p>(2) FIN-2</p> <p>(3) FIN-3</p> <p>(4) FIN-4</p> <p>(5) Statement of Undertaking (if required under Data Sheet 10.2 below)</p>
10.2	Statement of Undertaking is required: Yes.
11.1	Participation of Sub-consultants, Key Experts and Non-Key Experts in more than one Proposal is permissible: Yes
12.1	Proposals shall be valid for 120 calendar days after the bid opening date
12.4	<p>Replace second sentence of ITC 12.4 with the following:</p> <p>“However, should the need arise, any request for extension of validity will be hosted on e-procurement portal and an e-mail will be sent to each Consultant. The Consultants may send their response if any on the e-procurement portal and through the e-mail id : ichalkaranjinp@gmail.com</p>
13	<p>Replace ITC 13 with the following:</p> <p>“13.1. The e-procurement system specified in ITC 1(m) provides for online clarifications. A Consultant may request an online clarification of any part of the RFP during the period indicated in the Data Sheet before the Proposals’ submission deadline, or raise its inquiries during the pre-proposal conference, if provided for in accordance with ITC 2.3. Clarifications requested through any other mode shall not be considered by the Client. The Client will respond online by uploading the response (including an explanation of the query but without identifying its source) for information of all Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described in ITC 13.1.1 and ITC 13.1.2 below. It is the consultant’s responsibility to check on the e-procurement system, for any addendum/ amendment/ corrigendum to the RFP document.</p> <p>13.1.1 At any time before the proposal submission deadline, the Client may amend the RFP by issuing an amendment online in accordance with the procedure described in the Data Sheet. The amendment shall be binding on all</p>

	<p>Consultants. The Client shall not be liable for any information not received by the Consultants. It is the Consultants' responsibility to verify the website for the latest information related to the RFP.</p> <p>13.1.2 If the amendment is substantial, the Client may extend the proposal submission deadline to give the Consultants reasonable time to take an amendment into account in their Proposals, by amending the RFP in accordance with ITC 13.1.1.</p> <p>13.2 A Consultant may modify its Proposal in the following manner, by using the appropriate option for proposal modification on e-procurement portal, before the deadline for submission of proposals. No modifications to the Technical or Financial Proposal shall be accepted after the proposal submission deadline.</p> <p>(a) For proposal modification and consequential re-submission, the Consultant is not required to withdraw the proposal submitted earlier. The last modified proposal submitted by the consultant within the proposal submission time shall be considered as the 'Proposal'.</p> <p>(b) For this purpose, modification/withdrawal by other means will not be accepted.</p> <p>(c) The modification and consequential re-submission of proposals is allowed any number of items.</p> <p>(d) A consultant may withdraw its proposal by using the appropriate option for proposal withdrawal, before the deadline for submission of proposals. However, if the proposal is withdrawn, re-submission is <i>not allowed by the system. However, modifications may be done any number of times.</i></p>
<p>13.1</p>	<p>Clarifications may be requested online not later than 7 days prior to the submission deadline.</p> <p>The Client will respond by uploading the response to queries. The system will normally send auto-e-mail regarding hosting of query and response to consultants who have registered on the portal and started working on the RFP. However, the Consultants shall remain responsible to view query and response thereto. If any specific query is not replied and uploaded, it indicates no change in the original contents of the RFP.</p>
<p>13.1.1</p>	<p>The Client will host the corrigendum / amendment to RFP, if any on the e-procurement portal at any time prior to the submission deadline. The system will normally send auto-e-mail regarding hosting of amendment to consultants who have registered on the portal and started working on the RFP. However, the Consultants are advised to visit the website regularly until last date and time of completion and the Consultants shall remain responsible to view amendment to RFP.</p>

13.2 (d)	Re-submission of the proposal is <i>not allowed by the system. However, modifications may be done any number of times.</i>
14.1.2 (do not use for Fixed Budget method)	Estimates input of key persons time-input: 36 person-months
14.1.3 for time-based contracts only	<i>Not Applicable</i>
14.1.4 and 26.2 use for Fixed Budget method	Not Applicable.
15.1	Delete from the first sentence of ITC 15.1, the following: ‘and shall comprise the documents listed in the Data Sheet ’.
15.2	The format of the Technical Proposal to be submitted is: FTP- Submission of the Technical Proposal in a wrong format may lead to the Proposal being deemed non-responsive to the RFP requirements.
16.1	(1) <i>a per diem allowance, including hotel, for experts for every day of absence from the home office for the purposes of the Services;</i> (2) <i>cost of travel by the most appropriate means of transport and the most direct practicable route;</i>
16.2	Delete from ITC 16.2, the following: ‘foreign and/or’. A price adjustment provision applies to remuneration rates: No.

16.3	<p>Information on the Consultant’s tax obligations in India can be found from the Ministry of Finance, Government of India website: http://finmin.nic.in</p> <p>Consultants and their Sub-consultants and Experts are responsible for payment of all taxes as applicable in India.</p> <p>The Client will, however reimburse on proof of submission with relevant Government Authority, the Goods & Services Tax (GST) payable on the contract value by the consultant, as per Applicable Law in India. Statutory deductions of taxes at source (TDS), however, shall be made as applicable.</p> <p>The above only are to be shown separately in the financial proposal.</p>
16.4	<p>The Financial Proposal shall be submitted in Indian Rupees.</p>
16.5	<p>Payments under the Contract shall be made in Indian Rupees.</p>
<p>C. Submission, Opening and Evaluation</p>	
17	<p>Replace ITC 17 with the following:</p> <p>“17.1 The Consultant shall submit a digitally signed, encrypted, and complete Proposal comprising the documents and forms in accordance with ITC 10 (Documents Comprising Proposal). Consultants shall mark as “CONFIDENTIAL” information in their Proposals which is confidential to their business. This may include proprietary information, trade secrets or commercial or financially sensitive information. The submission shall be done electronically on the e-procurement system and in accordance with procedure specified in the Data Sheet. Proposals submitted by any other means will be rejected. Detailed guidelines for viewing proposals, and for online submission of proposals are given on the website.</p> <p>17.2 An authorized representative of the Consultant shall digitally sign the original submission letters in the required format for both the Technical Proposal and, if applicable, the Financial Proposal and shall initial all pages of both. The authorization shall be in the form of a written power of attorney to be scanned and uploaded together with the Technical Proposal.</p> <p>17.2.1 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member’s authorized representative. The submission letters and the power of attorney shall then be scanned and uploaded together with the Technical Proposal.</p> <p>17.3 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.</p> <p>17.4 The Proposal or its modifications must be uploaded on the e-procurement</p>

	<p>portal specified in ITC 1(m), no later than the deadline indicated in the Data Sheet, or any extension to this deadline. The electronic system will not accept any Proposal or its modification for uploading after the deadline, as per server time.</p> <p>Once the Proposal is uploaded on the portal, the system will generate a unique identification number with the stamped submission time. The unique identification number with the time stamp represents an acknowledgement of the Proposal submission. Any other system's functionality requirements are specified in the Data Sheet.”</p>
17.1	<p>The electronic submission procedures shall be as follows:</p> <p>The Consultants shall submit their Proposals (both Technical and Financial) electronically on the e-procurement portal specified in ITC 1(m), following the procedure given below. Detailed guidelines for viewing proposals and for online submission are given on the website:</p> <p>(a) To participate in the e-tendering process, it is mandatory for the consultants to have enrolment/registration in the website, and active Digital Signature Certificate (DSC) <i>with signing + Encryption</i>] (in the name of person who will sign the proposal) in the form of smart card/e-token, obtained from any of the licensed Certifying Agency authorized by the Government of India. Consultants can see the list of licensed CAs from the link www.cca.gov.in</p> <p>(b) The consultant should register in the website using the relevant option available. Then the Digital Signature registration has to be done with the e-token, after logging into the website. The consultant can then login the website through the secured login by entering the password of the e-token & the user id/ password chosen during registration.</p> <p>(c) The size of individual files containing the documents comprising the Proposal(s) shall not exceed 10 MB and there is no limit on the number of files to be uploaded.</p> <p><i>[Note for Consultants: For online submission of proposals, the Consultants shall fill up online, the forms that are available for online filling on the e-portal. The rest of the forms shall be download by the Consultants and filled up. The filled-up pages shall then be digitally signed, scanned and uploaded on the e-procurement portal along with the scanned copies of the supporting documents.]</i></p>
17.4	<p>The Proposals must be uploaded on the e-procurement portal specified in ITC 1(m) no later than:</p> <p>Date: 27/01/2025. Time: 13:00 IST</p>
17.5	<i>None</i>

19	<p>Replace ITC 19 with the following:</p> <p>“19.1 The Client’s evaluation committee shall conduct the opening of the Technical Proposals online following the procedure described in the Data Sheet and this could be viewed online by the Consultants. The consultants or their authorized representatives may attend the online opening in person if this option is offered in the Data Sheet. The opening date, time and the address are stated in the Data Sheet. The folder with the Financial Proposal shall remain unopened, encrypted, in the e-procurement system until the subsequent public opening in accordance with ITC 23.</p> <p>19.2 At the opening of the Technical Proposals the following shall be read out and recorded online simultaneously: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of the folder with the Financial Proposal in the portal; and (iii) any other information deemed appropriate or as indicated in the Data Sheet.”</p>
19.1	<p>The procedure for online opening of technical proposals shall be: <i>Technical proposal will be opened on the e-procurement portal by the Client’s Evaluation Committee at the date and time indicated below.</i></p> <p>Date: 29/01/2025</p> <p>Time: 16:30 IST</p> <p><i>The opening of the bid shall be online.</i></p> <p>In the event of the specified date of proposal opening being declared a holiday for the Client, the proposals will be opened at the appointed time and location on the next working day</p>
19.2	<p>In addition, the following information will be read aloud at the opening of the Technical Proposals: N/A</p>
21.1	<p>Consultants’ technical proposal shall be evaluated in two parts. Part A (as mentioned below) shall be the mandatory criteria that the consultants must meet. Technical Proposals of Consultants who do not meet the criteria in Part A, shall not be further evaluated, and the proposal shall be rejected.</p> <p>Technical Proposal of Consultants, who meet the criteria in Part A, shall be evaluated further using the scoring scheme contained in Part B below.</p>

Part A: Mandatory Criteria:**Basic Eligibility:**

S. No:	Criteria	Documents Required
1.	The consulting firm should have been registered under Companies Act, 1956 or Limited Liability Partnership and subsequent amendments thereto under same name & style for at least 10 years in India.	a) Certificate of incorporation
2.	The Bidder must enclose copy of PAN No.	a) Copy of PAN Card
3	The Bidder must enclose proof of GST registration.	a) Copy of GST registration
4	Joint venture.	Not allowed
5	The Consultancy firm must not have been blacklisted or debarred or suspended by Government of Maharashtra or World Bank at the time of last date of submission. worldbank.org/debarr	a) Self declaration on Rs.100/- Non Judicial Stamp paper
6	Financial Turnover: Minimum average annual turnover of shall be INR 10 Crores in any Three (3) out of Five (5) financial years. i.e. 2019-20, 2020-21, 2021-22, 2022-23, 2023-24.	a) Turnover Certificate from Statutory auditor / Chartered Accountant b) copy of audited Balance Sheet
7	Experience of having completed at least one Consultancy work related to storm water drain DPR during last 7 years	a) Copy of Experience Certificate issued by competent authority

Part B: Evaluation Criteria:

Criteria, sub-criteria, and point system for the evaluation of the Full Technical Proposals:

<u>Points</u>			
(i) Specific experience of the Consultant (as a firm) relevant to the Assignment: [15]			
Sr. No	Technical Qualification Criteria	Marks / Points	Max Marks /Points
1	Specific Experience Copy of Completion certificate, work order/copy of contract or any other which can be verified by the appointing authority to be submitted.		15
	1a. Number of contracts for preparation of detailed project reports (and acceptance by client) for urban storm water drainage/ urban flood risk mitigation/ sewerage projects in India for any state or central government agency/ any govt. agency outside India in the last 5 years. (1 marks for each project up to a maximum of 6 marks)	6	
	1b. Additional mark for similar assignment/s completed in Maharashtra in the last 5 years. (1 per contract upto a maximum of 4 marks)	4	
	1c. Firm having specific work experience for projects funded by multilateral development banks (World Bank, ADB projects, etc.) (1 mark for each project upto 5 marks) in last 5 years	5	
	Total (i)	15	15
ii) Adequacy and quality of the proposed methodology, and work plan in responding to the Terms of Reference (TORs): [40]			
Sr. No	Technical Qualification Criteria	Marks / Points	Max Marks /Points
1	Approach and Methodology: The applicant properly understands the TOR including the current situation draws attention to all main issue related to the assignment. Applicant in the technical section of the bid, shall give the detailed approach, scope proposed, and step-wise methodology to carry out the assignment along with the Activity schedule.	20	40

2	<p>Work Plan</p> <p>The applicant shall submit a detailed work plan that fits to the activities indicated in the TOR. The work plan shall indicate the timing and duration of all activities as appropriate and consistent with the consultancy output, and the interrelations between various activities are as realistic and consistent with the proposed methodology. The plan will indicate a list of final documents, including Reports, drawings and maps to be delivered as final output included.</p> <p>Knowledge Transfer</p>	20	
	Total (ii)	40	40

[Notes to Consultant: the Client will assess whether the proposed methodology is clear, responds to the TORs, work plan is realistic and implementable; overall team composition is balanced and has an appropriate skills mix; and the work plan has right input of Experts]

(iii) Key Experts' qualifications and competence for the Assignment: [50]

{Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant}

Sr. No	Technical Qualification Criteria	Marks/ Points	Max Marks /Points
	Proposed Team Key Personnel/Experts':		
1	Team Leader 1 Nos	8	45
2	Hydraulic Design Engineer - 1 Nos	6	
3	Sr. Design Engineer/ Drainage Specialist -1 Nos	6	
4	Estimation Engineer-1 No	6	
5	GIS Expert-1 Nos	6	
6	Watershed Management cum NbS Expert -1 Nos	5	
7	Environmental Safeguards Specialist- 1 Nos	4	
8	Social Safeguards Specialist- 1 Nos	4	
	Total (iii)		45

The number of points to be assigned to each of the above positions shall be determined considering the following three sub-criteria (based on qualification criteria given in the ToR) and relevant percentage weights:

- 1) General qualifications (general education, training, and experience): 20 %
- 2) Adequacy for the Assignment (relevant education, training, experience in the sector/similar assignments): 70 %

	<p>3) Working level fluency in local language(s)/knowledge of local culture or administrative system, government organization, etc.: 10%</p> <p>Total weight: 100%</p> <p>Total Marks/Points for the Three (i+ii+iii) criteria: [100]</p> <p>The minimum technical score (St) required to pass is: 75</p>
21.1 [for STP]	Not Applicable
22.2	<p>Replace second sentence of ITC 22.2 with the following:</p> <p>“All other Financial Proposals shall not be opened.”</p>
	Public Opening of Financial Proposals
23	<p>Replace ITC 23.1 with the following:</p> <p>“23.1 After the technical evaluation is completed and the Bank has issued its no objection (if applicable), the Client shall notify online through e-procurement portal those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, intimating them the following:</p> <ul style="list-style-type: none"> (i) their Proposal was not responsive to the RFP and TOR or did not meet the minimum qualifying technical score; (ii) provide information relating to the Consultant’s overall technical score, as well as scores obtained for each criterion and sub-criterion; (iii) their Financial Proposals will not be opened; and (iv) notify them of the date, time and location of the online public opening of the Financial Proposals and invite them to attend.” <p>In ITC 23.2(b)(iv), after the words ‘location of the’ add the following: ‘online’</p> <p>Replace ITC 23.4 with the following:</p> <p>“23.4 The Consultant’s attendance at the opening of the Financial Proposals (in person, or online) is optional and is at the Consultant’s choice”</p> <p>Replace ITC 23.5 with the following:</p> <p>“The Financial Proposals shall be publicly opened online by the Client’s evaluation committee in the presence of the representatives of the Consultants and anyone else who chooses to attend. Any interested party who wishes to attend this</p>

	public opening should contact the client as indicated in the Data Sheet. ”
23.5	<p>Following the completion of the evaluation of the Technical Proposals, the Client will open the financial proposal online.</p> <p>Alternatively, a notice of the public opening of Financial Proposals may be published on the Client’s website, if available.</p> <p>In the event of the specified date of proposal opening being declared a holiday for the Client, the proposals will be opened at the appointed time and location on the next working day.</p>
25.1	<p>For the purpose of the evaluation, the Client will exclude: all local identifiable indirect taxes such as GST or similar taxes levied on the contract’s invoices.</p> <p>If a Contract is awarded, at Contract negotiations, all such taxes will be discussed, finalized (using the itemized list as a guidance but not limiting to it) and added to the Contract amount as a separate line, also indicating which taxes shall be paid by the Consultant and which taxes are withheld and paid by the Client on behalf of the Consultant.</p>
26.1 (QCBS only)	<p>The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.</p> <p>The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:</p> <p>$Sf = 100 \times Fm / F$, in which “Sf” is the financial score, “Fm” is the lowest price, and “F” the price of the proposal under consideration.</p> <p>The weights given to the Technical (T) and Financial (P) Proposals are:</p> <p>T = 80%</p> <p>P = 20%</p> <p>Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: $S = St \times T\% + Sf \times P\%$.</p> <p>In case of a tie between two or more consulting firms, the consultant with a higher technical score will be selected.</p> <p>The Commissioner, Ichalkaranji Municipal Corporation and MITRA reserves the rights to reject any proposal which is non responsive and no request for alteration, modification, substitution or withdrawal shall be entertain by The Commissioner, Ichalkaranji Municipal Corporation and MITRA in respect of such proposal.</p>

	D. Negotiations and Award
27.1	<p>Expected date and address for contract negotiations: Date: /1/2024</p> <p>The opening will be online.</p>
29	<p>Replace ITC 29 with the following:</p> <p>“29.1 After completing the negotiations, and obtaining the Bank’s no objection to the negotiated draft Contract, if applicable, the Client shall, send a notification of award to the successful Consultant, confirming the Client’s intention to award the Contract to the successful Consultant and requesting the successful Consultant to sign and return the draft negotiated Contract within eight (8) Business Days from the date of receipt of such notification.</p> <p style="text-align: center;"><u>Contract Award Notice</u></p> <p>Within ten (10) Business Days from the date of notification of award such request, the Client shall publish the Contract Award Notice which shall contain, at a minimum, the following information:</p> <ul style="list-style-type: none"> (a) name and address of the Client; (b) name and reference number of the contract being awarded, and the selection method used; (c) names of the consultants that submitted proposals, and their proposal prices as read out at financial proposal opening, and as evaluated; (d) names of all Consultants whose Proposals were rejected or were not evaluated, with the reasons therefor; and (e) the name of the successful consultant, the final total contract price, the contract duration and a summary of its scope. <p>29.2 The Contract Award Notice shall be published on the e-procurement portal and all communicated to all the Consultants who have participated in the bidding process by e-mail</p>
30.1	<p>Replace ITC 30 with the following:</p> <p>“The Contract shall be signed prior to the expiry date of the Proposal validity, specified in ITC 12.1 or any extension thereof.”</p>
30.2	<p>Expected date for the commencement of the Services:</p> <p>Date:10/02/2025</p>
31.1	<p>The procedures for making a Procurement-related Complaint are detailed in the “<u>Procurement Regulations for IPF Borrowers</u> (Annex III).” If a Consultant wishes</p>

to make a Procurement-related Complaint, the Consultant shall submit its complaint following these procedures, In Writing (by the quickest means available, such as by email or fax), to:

For the attention: *The Commissioner*

Client: The Commissioner, Ichalkaranji Municipal Corporation, Bharat Ratna Atal Bihari Vajpayee Chouk, Station Road, Ichalkaranji 416115

Email address: ichalkaranjinp@gmail.com.

In summary, a Procurement-related Complaint may challenge any of the following:

1. the terms of this Request for Proposal;
2. the Client's decision to exclude a Consultant from the procurement process prior to the award of contract; and
3. the Client's decision to award the contract.

Section 3. Technical Proposal – Standard Forms

{Notes to Consultant shown in brackets { } throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

CHECKLIST OF REQUIRED FORMS

Required for FTP or STP <input type="checkbox"/>		FORM	DESCRIPTION	Page Limit
FTP	STP			
<input type="checkbox"/>	<input type="checkbox"/>	TECH-1	Technical Proposal Submission Form.	
<input type="checkbox"/> If applicable		TECH-1 Attachment	If the Proposal is submitted by a joint venture, attach a letter of intent or a copy of an existing agreement.	
<input type="checkbox"/> If applicable		Power of Attorney	No pre-set format/form. In the case of a Joint Venture, several are required: a power of attorney for the authorized representative of each JV member, and a power of attorney for the representative of the lead member to represent all JV members	
<input type="checkbox"/>		TECH-2	Consultant's Organization and Experience.	
<input type="checkbox"/>		TECH-2A	A. Consultant's Organization	
<input type="checkbox"/>		TECH-2B	B. Consultant's Experience	
<input type="checkbox"/>		TECH-3	Comments or Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be provided by the Client.	
<input type="checkbox"/>		TECH-3A	A. On the Terms of Reference	
<input type="checkbox"/>		TECH-3B	B. On the Counterpart Staff and Facilities	
<input type="checkbox"/>	<input type="checkbox"/>	TECH-4	Description of the Approach, Methodology, and Work Plan for Performing the Assignment	
<input type="checkbox"/>	<input type="checkbox"/>	TECH-5	Work Schedule and Planning for Deliverables	
<input type="checkbox"/>	<input type="checkbox"/>	TECH-6	Team Composition, Key Experts Inputs, and attached Curriculum Vitae (CV)	

All pages of the original Technical and Financial Proposal shall be initialed by the same authorized representative of the Consultant who signs the Proposal.

FORM TECH-1**TECHNICAL PROPOSAL SUBMISSION FORM**

{Location, Date}

To,
The Municipal Commissioner,
Ichalkaranji Municipal Corporation Government of
Maharashtra.
'Nirmal', 5th floor, Next to Inox cinema (CR2),
Nariman Point, Mumbai 400021

Dear Sir/Ma'am:

We, the undersigned, offer to provide the consulting services for *[Insert title of assignment]* in accordance with your Request for Proposals (RFP) dated *[Insert Date]* and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal.

If the Consultant's Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and address of each Sub-consultant.}

We hereby declare that:

- a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Client and/or may be sanctioned by the Bank.
- (b) Our Proposal shall be valid and remain binding upon us until *[insert day, month and year in accordance with ITC 12.1]*.
- (c) We have no conflict of interest in accordance with ITC 3.
- (d) We meet the eligibility requirements as stated in ITC 6, and we confirm our understanding of our obligation to abide by the Bank's policy in regard to Fraud and Corruption as per ITC 5.
- (e) We, along with any of our sub-consultants, subcontractors, suppliers, or service providers for any part of the contract, are not subject to, and not controlled by any entity

or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Client's country laws or official regulations or pursuant to a decision of the United Nations Security Council.

- (f) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, in force in India.
- (g) Except as stated in the Data Sheet, ITC 12.7, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC 12 and ITC 27.4 may lead to the termination of Contract negotiations.
- (h) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in ITC 30.2 of the Data Sheet.

We understand that the Client is not bound to accept any Proposal that the Client receives.

We remain,

Yours sincerely,

Signature (of Consultant's authorized representative) {In full and initials}:

Full name: {insert full name of authorized representative}

Title: {insert title/position of authorized representative}

Name of Consultant (company's name or JV's name):

Capacity: {insert the person's capacity to sign for the Consultant}

Address: {insert the authorized representative's address}

Phone/fax: {insert the authorized representative's phone and fax number, if applicable}

Email: {insert the authorized representative's email address} _____

{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}

FORM TECH-2 (FOR FULL TECHNICAL PROPOSAL ONLY)**CONSULTANT'S ORGANIZATION AND EXPERIENCE**

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Sub-consultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

A - Consultant's Organization

1. Provide here a brief description of the background and organization of your company, and – in case of a joint venture – of each member for this assignment.
2. Include organizational chart, a list of Board of Directors, and beneficial ownership.

B - Consultant's Experience

1. List only previous similar assignments successfully completed in the last [....] years.
2. List only those assignments for which the Consultant was legally contracted by the Client as a company or was one of the joint venture members. Assignments completed by the Consultant's individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Consultant should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by the Client.

Duration	Assignment name/& brief description of main deliverables/outputs	Name of Client & Country of Assignment	Approx. Contract value (in Rs.)/ Amount paid to your firm	Role on the Assignment
{e.g., Jan.2009– Apr.2010}	{e.g., “Improvement quality of.....”: designed master plan for rationalization of..... ; }	{e.g., Ministry of, country}	{e.g., Rs.1 mill/Rs. 0.5 mill}	{e.g., Lead partner in a JV A&B&C}
{e.g., Jan-May 2008}	{e.g., “Support to sub-national government”: drafted secondary level regulations on.....}	{e.g., municipality of..... , country}	{e.g., Rs. 0.2 mil/Rs. 0.2 mil}	{e.g., sole Consultant}

FORM TECH-3 (FOR FULL TECHNICAL PROPOSAL)**COMMENTS AND SUGGESTIONS ON THE TERMS OF REFERENCE, COUNTERPART STAFF, AND FACILITIES TO BE PROVIDED BY THE CLIENT**

Form TECH-3: comments and suggestions on the Terms of Reference that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc.

A - On the Terms of Reference

{improvements to the Terms of Reference, if any}

B - On Counterpart Staff and Facilities

{comments on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}

FORM TECH-4 (FOR FULL TECHNICAL PROPOSAL ONLY)**DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN IN
RESPONDING TO THE TERMS OF REFERENCE**

Form TECH-4: a description of the approach, methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{Suggested structure of your Technical Proposal (in FTP format):

- a) Technical Approach and Methodology
 - b) Work Plan
 - c) Organization and Staffing
-
- a) **Technical Approach and Methodology.** {Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. Please do not repeat/copy the TORs in here.}

 - b) **Work Plan.** {Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}

 - c) **Organization and Staffing.** {Please describe the structure and composition of your team, including the list of the Key Experts, Non-Key Experts and relevant technical and administrative support staff.}

FORM TECH-5

WORK SCHEDULE AND PLANNING FOR DELIVERABLES

N°	Deliverables ¹ (D-..)	Months												
		1	2	3	4	5	6	7	8	9	n	TOTAL	
D-1	{e.g., Deliverable #1: Report A													
	1) data collection													
	2) drafting													
	3) inception report													
	4) incorporating comments													
	5) delivery of final report to Client}													
D-2	{e.g., Deliverable #2:.....}													



- 1 List the deliverables with the breakdown for activities required to produce them and other benchmarks such as the Client’s approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in a form of a bar chart.
- 3 Include a legend, if necessary, to help read the chart.

FORM TECH-6

TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

N°	Name	Expert's input (in person/month) per each Deliverable (listed in TECH-5)										Total time-input (in Months)			
		Position		D-1		D-2		D-3	D-...			Home	Field	Total
KEY EXPERTS															
K-1	{e.g., Mr. Abb}	[Team Leader]	[Home]	[2 month]	[1.0]	[1.0]									
			[Field]	[0.5 m]	[2.5]	[0]									
K-2															
K-3															
n															
											Subtotal				
NON-KEY EXPERTS															
N-1			[Home]												
			[Field]												
N-2															
n															
											Subtotal				
											Total				

-
- 1 For Key Experts, the input should be indicated individually for the same positions as required under the Data Sheet ITC21.1.
 - 2 Months are counted from the start of the assignment/mobilization. One (1) month equals twenty-two (22) working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours.
 - 3 “Home” means work in the office in the expert’s country of residence. “Field” work means work carried out in the Client’s country or any other country outside the expert’s country of residence.

 Full time input
 Part time input

**FORM TECH-6
(CONTINUED)**

CURRICULUM VITAE (CV)

Position Title and No.	{e.g., K-1, TEAM LEADER}
Name of Expert:	{Insert full name}
Date of Birth:	{day/month/year}
Country of Citizenship/Residence	

Education: {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous clients and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

Period	Employing organization and your title/position. Contact information for references	Country	Summary of activities performed relevant to the Assignment
[e.g., May 2005-present]	[e.g., Ministry of, advisor/consultant to... For references: Tel..... /e-mail.....; Mr. Hbbbb, deputy minister]		

Membership in Professional Associations and Publications:

Language Skills (indicate only languages in which you can work): _____

Adequacy for the Assignment:

Detailed Tasks Assigned on Consultant's Team of Experts:	Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks
{List all deliverables/tasks as in TECH- 5 in which the Expert will be involved}	

Expert's contact information: (e-mail, phone))

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience, and I am available, as and when necessary, to undertake the assignment in case of an award. I understand that any misstatement or misrepresentation described herein may lead to my disqualification or dismissal by the Client, and/or sanctions by the Bank.

{day/month/year}

Name of Expert

Signature

Date

{day/month/year}

Name of authorized
Representative of the Consultant
(the same who signs the Proposal)

Signature

Date

FORM TECH-7

CODE OF CONDUCT FOR EXPERTS FORM

Note to the Client:

The following minimum requirements shall not be modified. The Client may include additional requirements to reflect Contract-specific issues/risks.

Delete this Box prior to issuance of the RFP.

Note to the Consultant:

The minimum content of the Code of Conduct form as set out by the Client shall not be substantially modified. However, the Consultant may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Consultant shall initial and submit the Code of Conduct form as part of its Proposal.

CODE OF CONDUCT FOR EXPERTS

We are the Consultant, [*enter name of Consultant*]. We have signed a contract with [*enter name of Client*] for [*enter description of the Services*]. Our contract requires us to implement measures to address social risks related to the Services, if any, including the risks of sexual exploitation, sexual abuse and sexual harassment.

This Code of Conduct is part of our measures to deal with social risks, if any, related to the Services. This Code of Conduct identifies the behavior that we require from all Experts.

Our workplace for carrying the Services is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

REQUIRED CONDUCT

Experts shall:

1. carry out his/her duties competently and diligently;
2. comply with this Code of Conduct and all applicable laws, regulations and other requirements;
3. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;

-
4. not engage in Sexual Harassment, which means unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Experts, or Client's Personnel;
 5. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
 6. not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
 7. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
 8. complete training/sensitization that may be provided related to the social aspects of the Contract, including on Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH);
 9. report violations of this Code of Conduct; and
 10. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Client.

RAISING CONCERNS

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [*enter name of person assigned by the Consultant to handle such matters*] in writing at this address [] or by telephone at [] or in person at []; or
2. Call [] to reach the Consultant's hotline (*if any*) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by Experts may result in serious consequences, up to and including termination and possible referral to legal authorities.

FOR EXPERT:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of the contact person(s) assigned by the Consultant*] requesting an explanation.

Name of Expert: [insert name]

Signature: _____

Date: (day month year): _____

Countersignature of authorized representative of the Consultant:

Signature: _____

Date: (day month year): _____

FORM TECH-8
SEXUAL EXPLOITATION AND ABUSE (SEA) AND/OR SEXUAL HARASSMENT (SH)
PERFORMANCE DECLARATION

[The following table shall be filled in for the Consultant, each member of a Joint Venture and each subconsultant proposed by the Consultant]

Consultant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member's or Subconsultant's Name: *[insert full name]*
RFP No. and title: *[insert RFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.</p>
<p><i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i></p>

Section 4. Financial Proposal - Standard Forms

{*Notes to Consultant* shown in brackets { } provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

- FIN-1 Financial Proposal Submission Form
- FIN-2 Summary of Costs
- FIN-3 Breakdown of Remuneration, including Appendix A “Financial Negotiations - Breakdown of Remuneration Rates” in the case of QBS method
- FIN-4 Reimbursable expenses

FORM FIN-1
FINANCIAL PROPOSAL SUBMISSION FORM

{Location, Date}

To,
The Commissioner,
Ichalkaranji Municipal Corporation,
Bharat Ratna Atal Bihari Vajpayee Chouk,
Station Road, Ichalkaranji- 416115
State- Maharashtra, India

Dear Sirs:

We, the undersigned, offer to provide the consulting services for *[Insert title of assignment]* in accordance with your Request for Proposals (RFP) dated *[Insert Date]* and our Technical Proposal.

Our attached Financial Proposal is for the amount of Rs. _____ {Insert amount in words and figures}, *[Insert "including" or "excluding"]* of all indirect local taxes in accordance with ITC 25.1 in the Data Sheet. The estimated amount of local indirect taxes is Rs. _____ {Insert amount in words and figures} which shall be confirmed or adjusted, if needed, during negotiations. {Please note that all amounts shall be the same as in Form FIN-2}.

Our Financial Proposal shall be valid and remain binding upon us, subject to the modifications resulting from Contract negotiations, for the period of time specified in the Data Sheet, ITC 12.1.

Commissions and gratuities paid or to be paid by us to an agent or any third party relating to preparation or submission of this Proposal and Contract execution, paid if we are awarded the Contract, are listed below:

Name and Address of Agents	Amount and Currency	Purpose of Commission or Gratuity
_____	_____	_____
_____	_____	_____

{If no payments are made or promised, add the following statement: “No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Proposal and Contract execution.”}

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Signature (of Consultant’s authorized representative) {In full and initials}:

Full name: {insert full name of authorized representative}

Title: {insert title/position of authorized representative}

Name of Consultant (company’s name or JV’s name):

Capacity: {insert the person’s capacity to sign for the Consultant}

Address: {insert the authorized representative’s address}

Phone/fax: {insert the authorized representative’s phone and fax number, if applicable}

Email: {insert the authorized representative’s email address}_____

{For a joint venture, either all members shall sign or only the lead member/consultant, in which case the power of attorney to sign on behalf of all members shall be attached}

FORM FIN-2 SUMMARY OF COSTS

Item	Cost
	{Consultant must state the proposed Costs in accordance with ITC 16.4 of the Data Sheet}
	In Indian Rupees (Rs.)
Cost of the Financial Proposal	
Including:	
(1) Remuneration	
(2) Reimbursables	
<u>Total Cost of the Financial Proposal:</u> {Should match the amount in Form FIN-1}	
Indirect Local Tax Estimates – to be discussed and finalized at the negotiations if the Contract is awarded	
<u>Total Estimate for GST:</u>	

FORM FIN-3 BREAKDOWN OF REMUNERATION

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for the calculation of the Contract's ceiling amount; to calculate applicable taxes at contract negotiations; and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This Form shall not be used as a basis for payments under Lump-Sum contracts

A. Remuneration _____					
No.	Name	Position (as in TECH-6)	Person-month Remuneration Rate	Time Input in Person/Month (from TECH-6)	Total in Rs.
_____	Key Experts				
K-1	_____		[Home]	_____	_____
			[Field]	_____	_____
K-2	_____		_____	_____	_____
			_____	_____	_____
	_____		_____	_____	_____
			_____	_____	_____
_____	Non-Key Experts				
N-1	_____		[Home]	_____	_____
N-2			[Field]	_____	_____
	_____		_____	_____	_____
			_____	_____	_____
	Total Costs (Rs.)				_____

Appendix A. Financial Negotiations - Breakdown of Remuneration Rates

1. Review of Remuneration Rates

- 1.1. The remuneration rates are made up of salary or a base fee, social costs, overheads, profit, and any premium or allowance that may be paid for assignments away from headquarters or a home office. An attached Sample Form can be used to provide a breakdown of rates.
- 1.2. If the RFP requests submission of a technical proposal only, the Sample Form is used by the selected Consultant to prepare for the negotiations of the Contract. If the RFP requests submission of the financial proposal, the Sample Form shall be completed and attached to the Financial Form-3. Agreed (at the negotiations) breakdown sheets shall form part of the negotiated Contract and included in its Appendix D or C.
- 1.3. At the negotiations the firm shall be prepared to disclose its audited financial statements for the last three years, to substantiate its rates, and accept that its proposed rates and other financial matters are subject to scrutiny. The Client is charged with the custody of government funds and is expected to exercise prudence in the expenditure of these funds.
- 1.4. Rate details are discussed below:
 - (i) Salary is the gross regular cash salary or fee paid to the individual in the firm's home office. It shall not contain any premium for work away from headquarters or bonus (except where these are included by law or government regulations).
 - (ii) Bonuses are normally paid out of profits. To avoid double counting, any bonuses shall not normally be included in the "Salary" and should be shown separately. Where the Consultant's accounting system is such that the percentages of social costs and overheads are based on total revenue, including bonuses, those percentages shall be adjusted downward accordingly. Where national policy requires that 13 months' pay be given for 12 months' work, the profit element need not be adjusted downward. Any discussions on bonuses shall be supported by audited documentation, which shall be treated as confidential.
 - (iii) Social Charges are the costs of non-monetary benefits and may include, inter alia, social security (including pension, medical, and life insurance costs) and the cost of a paid sick and/or annual leave. In this regard, a paid leave during public holidays or an annual leave taken during an assignment if no Expert's replacement has been provided is not considered social charges.

- (iv) Cost of Leave. The principles of calculating the cost of total days leave per annum as a percentage of basic salary is normally calculated as follows:

$$\text{Leave cost as percentage of salary} = \frac{\text{total days leave} \times 100}{[365 - w - \text{ph} - v - s]}$$

Where w = weekends, ph = public holidays, v = vacation, and s = sick leave.

Please note that leave can be considered as a social cost only if the Client is not charged for the leave taken.

- (v) Overheads are the Consultant's business costs that are not directly related to the execution of the assignment and shall not be reimbursed as separate items under the Contract. Typical items are home office costs (non-billable time, time of senior Consultant's staff monitoring the project, rent of headquarters' office, support staff, research, staff training, marketing, etc.), the cost of Consultant's personnel not currently employed on revenue-earning projects, taxes on business activities, and business promotion costs. During negotiations, audited financial statements, certified as correct by an independent auditor and supporting the last three years' overheads, shall be available for discussion, together with detailed lists of items making up the overheads and the percentage by which each relates to basic salary. The Client does not accept an add-on margin for social charges, overhead expenses, etc. for Experts who are not permanent employees of the Consultant. In such case, the Consultant shall be entitled only to administrative costs and a fee on the monthly payments charged for sub-contracted Experts.
- (vi) Profit is normally based on the sum of the Salary, Social costs, and Overheads. If any bonuses paid on a regular basis are listed, a corresponding reduction shall be made in the profit amount. Profit shall not be allowed on travel or any other reimbursable expenses.
- (vii) Away from Home Office Allowance or Premium or Subsistence Allowances. Some Consultants pay allowances to Experts working away from headquarters or outside of the home office. Such allowances are calculated as a percentage of salary (or a fee) and shall not draw overheads or profit. Sometimes, by law, such allowances may draw social costs. In this case, the amount of this social cost shall still be shown under social costs, with the net allowance shown separately.

Sample Form

Consultant:
Assignment:

Country:
Date:

Consultant's Representations Regarding Costs and Charges

We hereby confirm that:

- (a) the basic fees indicated in the attached table are taken from the firm's payroll records and reflect the current rates of the Experts listed which have not been raised other than within the normal annual pay increase policy as applied to all the Consultant's Experts;
- (b) attached are true copies of the latest pay slips of the Experts listed;
- (c) the away- from- home office allowances indicated below are those that the Consultant has agreed to pay for this assignment to the Experts listed;
- (d) the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and
- (e) said factors for overhead and social charges do not include any bonuses or other means of profit-sharing.

[Name of Consultant]

Signature of Authorized Representative

Date

Name: _____

Title: _____

**Consultant's Representations Regarding Costs and Charges
(Model Form I)**

(Expressed in Rs.)

Personnel		1	2	3	4	5	6	7	8
Name	Position	Basic Remuneration Rate per Working Month/Day/Year	Social Charges ¹	Overhead ¹	Subtotal	Profit ²	Away from Home Office Allowance	Proposed Fixed Rate per Working Month/Day/Hour	Proposed Fixed Rate per Working Month/Day/Hour ¹
Home Office									
Client's Country									

1. Expressed as percentage of 1

2. Expressed as percentage of 4

FORM FIN-4 BREAKDOWN OF REIMBURSABLE EXPENSES

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for calculation of the Contract ceiling amount, to calculate applicable taxes at contract negotiations and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This form shall not be used as a basis for payments under Lump-Sum contracts

B. Reimbursable Expenses					
Nº	Type of Reimbursable Expenses	Unit	Unit Cost	Quantity	In Indian Rupees (Rs.)
	{e.g., Per diem allowances**}	{Day}			
—	{e.g., International flights}	{Ticket}			
—	{e.g., In/out airport transportation}	{Trip}			
	{e.g., Communication costs between Insert place and Insert place}				
	{e.g., reproduction of reports}				
	{e.g., Office rent}				
				
	{Training of the Client's personnel – if required in TOR}				
Total Costs					

Legend:

“Per diem allowance” is paid for each night the expert is required by the Contract to be away from his/her usual place of residence. Client can set up a ceiling.

Section 5. Eligible Countries

In reference to ITC 6.3.2, for the information of Consultants, at the present time firms, goods and services from the following countries are excluded from this selection:

Under the ITC 6.3.2 (a): None

Under the ITC 6.3.2 (b): None

Section 6. Fraud and Corruption

(This Section 6, Fraud and Corruption **shall not** be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); Consultants (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-

- contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
 - d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
 - e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) Consultants (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the Consultant in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the Consultant to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

Section 7. Terms of Reference

Maharashtra Resilience Development Project (MRDP)

Terms of Reference

for

Consultancy Services for Re-validation of stormwater drainage master plan & Preparation of detailed project reports (DPRs) for urban flood management in Ichalkaranji.

A. BACKGROUND:

Floods and droughts have emerged as significant climate-related challenges in Maharashtra. In the past 50 years alone, the state has seen a seven-fold increase in the frequency of drought and a six-fold increase in the frequency of flood events. Such extreme climate conditions, including heavy rains, floods, drought, and landslides have strongly impacted the livelihoods of people and are taking a heavy toll on the state's economy. The State recorded seven significant flood events since 2005, due to excess to large-excess rainfall. Factors such as nallas-overflows and poor drainage systems had exacerbated and added to the impacts of heavy rains through loss of lives and destruction of dwellings, crops, and food stocks. Floods further devastated farmlands, washed away irrigation systems, eroded vast tracts of land, or rendered them unusable. These events required redirecting state budgetary resources to response, relief, and recovery from the catastrophic impacts. Financial compensation accorded by the state to affected persons was INR 641 crore in 2019–2020 and INR 336 crore in 2020–2021. Additionally, the expenditure for the restoration of affected public infrastructure, such as bridges, roads, electric transmission lines, and public buildings was nearly INR 800 crore per year.

During the Southwest monsoon season, rainfall over Maharashtra shows significant spatial and temporal variability. The State experiences extremes of rainfall ranging from 6000 mm over Ghat areas to 600 mm over interiors.

River Krishna originates from Mahabaleshwar in Satara district at an altitude of 1300 m and travels about 282 km before entering the State of Karnataka. Koyna, Yerla, Warana and Panchaganga are the major tributaries meeting river Krishna, in the Maharashtra River reach; whereas river Dudhganga meets river Krishna immediately after State boundary. Four major tributaries of river Krishna namely Yerla, Warana, Panchaganga and Dudhganga rivers meeting in a short river reach of about 79 kms.

After the Flood Event 2019, the state government constituted a committee of experts to identify the reasons for flooding and suggest remedial measures. The committee submitted its report in May 2020. The said Committee Report - **“A Report on Floods 2019 (Krishna Sub-Basin) by Experts Study Committee”** is available on the WRD Maharashtra website site <https://wrd.maharashtra.gov.in>. Indian Meteorological Department (IMD) was part of the

Expert Committee, have observed that due to Climate Change effect, frequency of Tropical Cyclonic Storms on Arabian Sea has been increased by about 52%. This has also increased the Flood Size. During 2019, out of 8 cyclonic storms formed over Indian seas, 5 were on the Arabian sea, as against the normal frequency of 1 per year. The Committee Report provides historical data regarding rainfall, runoff, inundated areas etc.

Some of the key reasons for the floods in the cities were observed due to runoff from free catchment areas in addition to upstream dam spills. Also, factors like climate change, variation in rainfall intensity, and encroachment on the natural drains in the city area have caused major localized flooding. The flooding in urban areas is exacerbated due to increased rainfall intensities, missing/encroached natural drains and missing or inadequate storm-water drains. There is a need to prepare a comprehensive master plan for storm-water drainage from urban areas to ensure effective discharge of runoff and prevent flooding.

Against this backdrop, the Government of Maharashtra has undertaken the Maharashtra Resilience Development Project (MRDP) with the assistance of The World Bank. MRDP aims to enhance resilience development in Maharashtra through climate-informed and integrated flood, drought, and landslide risk management. The following project components are proposed in MRDP:

Component	Name	Implementing Agency
Component 1	Climate Informed Flood Risk Management	Maharashtra Krishna Valley Development Corporation (MKVDC)
Component 2	Multi-hazard Resilience in Districts and Cities	Municipal Corporations & Disaster Management Relief and Rehabilitation Department (R&R)
Component 3	Upgrading Emergency Operations Capacities	Disaster Management Relief and Rehabilitation Department (R&R)
Component 4	Private Capital Mobilization for Risk Financing and Fiscal Resilience	Maharashtra Institution for Transformation (MITRA)
Component 5	Implementation Support and Knowledge Management	Maharashtra Institution for Transformation (MITRA)

Table 1: MRDP Project Components

A Project Management Unit (PMU) for MRDP has been set up at MITRA and Project Implementation Units are being set up at the respective implementation agencies.

Component 2 of the project will address the flood risk and associated flood mitigation measures in the three Municipal Corporations in the area namely Kolhapur, Sangli and Ichalkaranji. The three Municipal Corporations have been entrusted with the implementation of storm-water management and flood protection in their respective Municipal Limits.

The implementation of Flood and Storm-water management projects in the three cities

requires comprehensive master planning of the Storm-water network and also consideration of the impact of riverine flooding and high water levels in rivers causing flooding conditions. The Project Management Unit within MITRA is proposing to carry out the preparation of a Detailed Project Report and project management services of Component II – “Multi-hazard Resilience in Districts and Cities” which includes activities related to the management of storm-water in the three cities namely Kolhapur, Sangli and Ichalkaranji. The broad activities that will be implemented under Component II are as follows:

Carry out master planning for control of climate-induced flooding by proposing interventions to reduce runoff from catchments, and effective drainage of runoff from flooding areas in the three urban centres viz Kolhapur, Sangli, & Ichalkaranji.

Design the storm-water network of the cities based on the latest CPHEEO norms and also consider the impact on the drainage of storm-water of high water levels in the adjoining rivers.

To prepare a detailed project report for priority interventions in the master plan to prevent urban flooding, including measures if required for effective drainage of storm-water during flooding of adjoining river course

Ichalkaranji Municipal Corporation and MITRA proposes to float a Request For Proposal (RFP) for Consultancy Services for the preparation of comprehensive storm-water drainage master plan & Detailed project reports (DPRs) for urban flood management in Ichalkaranji Municipal Corporation.

B. OBJECTIVE:

The main objective of the assignment is to i) prepare a comprehensive storm-water drainage and flood mitigation master plan for Ichalkaranji Municipal Corporation Municipal Areas based on hydraulic modelling and scientific surveys and analysis; ii) identify priority SWD investments, finalize technical designs and prepare detailed project reports (DPRs) and Bid documents, and iii) provide design and engineering support during implementation.

C. SCOPE OF WORK:

The proposed activity under MRDP is taken up for improvement of the urban flooding and water logging situation in Ichalkaranji Municipal Corporation. The broad profile of the cities and coverage area is indicated below:

Name of the City	Ichalkaranji
Urban Local Body	Ichalkaranji Municipal Corporation
City Area	29.78 km ²
Population	2.92 lakh (census 2011), the present population is around 3.50 lakhs
Elevation	539m
Average rainfall	1075mm (tentative)
Significant Floods (by Year)	2005, 2006, 2019, and 2021
Watershed basins covering the city	Total 8, covering an area of 23.12 km ² ; river Panchganga flows along, Southern sides of the city; Kala Odha and Chandur Odha are major natural streams passing through the city

Major lakes	Shahapur quarry
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Table 2: Profile of Ichalkaranji City and Coverage Area

The consultant will undertake a two-stage assessment in close collaboration with MITRA, IMC, WRD, Irrigation Division, PWD and other stakeholders. The two stages include:

Stage I: Preparation of a Comprehensive Storm Drainage Master Plan and a Detailed Project Report

IA. Preparation of a Comprehensive Storm Drainage Master Plan:

Preparation of master plans of Ichalkaranji Municipal Corporation to identify the existing conditions of flooding (pluvial and fluvial), and water logging, especially, applying the scientific approach including hydraulic modelling. This exercise will revalidate the drainage master plan, and survey data prepared in the previous phase with due consideration of projected climate change impacts, particularly on the rainfall intensity, and the city's development / existing and future land use plan.

IB: Preparation of Detailed Project Reports (DPR):

Preparation of DPR, and phasing implementation plan (slicing and packaging the works) and assistance to IMC for inviting tenders. Proposed interventions/options for flood risk mitigation and SWD investments to be based on technical, economic, and financial viability and environmental and social safeguard considerations.

Stage II: Intermittent assistance to IMC during the implementation phase The assistance to IMC during the implementation phase is intermittent and is aimed at providing design engineering support that may arise during implementation.

The overall scope shall include:

- Integrated approach to water drains system in the Municipal areas considering past hydrological data, future /projected land use pattern of the city, flooding patterns and future climate trends.
- Analysis of the existing storm-water drainage systems.
- Assessment of quantity and quality of drainage discharge.
- Assess data requirements and undertake detailed topographical and geotechnical survey in consultation with IMC.
- Prevention of sewerage entering the drains.
- Factor in climate change scenarios on the Intensity-Duration-Frequency (IDF) curve and the possible impact on the drainage design taking into consideration of the future growth of IMC areas (densification, expansion)
- Hydraulic estimates and designs for various return periods as indicated in the CPHEEO Manual for SWD, good practices, and considering relevant exposure management in the IMC areas.
- Structural designs and drawings.
- Analyze and propose measures of Nature Based Solutions (NbS) to reduce runoff in the catchment areas. Towards this adopt systematic integration of blue elements like rivers, canals, ponds, wetlands, flood plains water treatment facilities and green elements such as trees, green fields, forests and parks alongside the built environment in the urban landscape including potential water recharge points outside the city limits. Calculate the potential benefits of Blue Green Grey Infrastructure (BGGI) and determine the increased

capacity of flow in channels, increased recharge of groundwater, reduction in discharge of storm-water runoff, and prevention of soil erosion and other ecological disturbances.

- Factor in the existing assessment of climate change impacts and risks and propose investments include a combination of structural and non-structural (ecological engineering) techniques to soften the edges of the waterways, lakes, tanks, and ponds to give them a natural appearance (green corridors) and to alter the constructed urban barriers (streets, sidewalks, buildings) to improve the green cover and increase the resilience of the city from climate change impacts.
- Identification and prioritization of sub-projects / components to achieve flood mitigation objective/s.
- Technical specification for works, preparation of cost estimation and Bill of Quantities.
- Prepare a phased strategy for the implementation of all storm-water drainage investments and indicate implementation arrangements.
- Preparation of Bid document.
- Phasing for implementation. Define work packages and sequencing with the city traffic management plan.

During this assignment, the consultant will adopt an innovative approach to integrate BGGI solutions for the city of Ichalkaranji Municipal Corporation.

The **detailed scopes of services** to be rendered for the following two stages are as follows:

Stage IA: Preparation of a Comprehensive Storm Drainage Master Plan

The earlier/existing Storm Water Drainage report needs to be further revised to incorporate the latest knowledge of climate risk information, draw upon the conceptual linkages of BGGI in the investment plan for storm-water drainage, integration of rainwater harvesting in storm-water drainage design, undertake all analysis and mechanisms as laid in the CPHEEO Manual on Storm Water Drainage Systems - 2019 (*survey and investigation, rainfall analysis, runoff estimation, hydraulic design of storm-water drainage system, storm water pumping and storage design, structural design and durability of infrastructure, storm-water recharge structures*) and innovative storm-water management practices.

It is to be noted that the existing master plan has been developed through a detailed survey and the scope included identification/demarcation of drainage zones that are dependent on the topographical features, natural and manmade boundaries, land-use pattern of the city and the associated run-off coefficients; rainfall data and run-off in different drainage zones; review of city's existing storm-water drainage system and associated capacity issues, design of storm-water drains and solutions for water logging areas, design of related storm water drain structures and detailed cost estimates. Only where necessary, data to be collected on physical characteristics including topography, land use etc. Collect historical and projected data and, where data are insufficient, take actual measurements as needed. At the outset of the preparation of the DPR, the Consultant shall check the topographical surveys, and identify additional surveys, such as geotechnical surveys and any analysis required for the final design of the proposed works.

Considering the availability of the data and the existing DPR, the following tasks in general will be carried out by the Consultant:

Task 1: Submission of Inception Report

The Consultants will, after initial interactions with the City Municipal Corporations, MITRA and concerned agencies, review all reports / data available to identify the data gap and will finally draw and present an action plan for the collection of all such data / information. The Consultants at this stage will prepare & present a draft Inception Report and will finalize the same in discussion with the City Municipal Corporations.

Task 2: Situational Analysis & Collection of Additional Data for calibrating the hydraulic design of storm-water drains:

- (i) Wherever needed, the Consultants will carry out topographical surveys and investigations to develop GIS base maps for analysis with an overlay of utilities information for sewerage, water supply, drainage systems, electric cables and telephone cables etc. During such survey following minimum investigations will be carried out along with other investigations:
 - Marking of onsite ground control points to correlate the site topography with remote sensing satellite data obtained through MRSAC/NRSC.
 - Current Storm-water Drainage condition assessment.
 - Wastewater quality in the existing systems.
 - Recent data and information of flooding (area and depth)
 - If surveys have been done in the recent past, these surveys will be considered.
- (ii) Collect data related to hydrometeorology (elevations, river levels, river flow, rainfall, severe weather frequency and intensity, river flooding, water logging, subsidence etc.), and the outputs of various modelling results from the existing documents and analyses in the target area. Utilize reliable secondary data as much as possible and, where such data are not available, take the direct measurements necessary to develop and calibrate models. In addition to the topographical maps, satellite /drone survey data will be used for elevation data, if available, considering the hydraulic modelling requirement.
- (iii) Collect all data about the land use land cover (LULC) and if needed place the request to the City Municipal Corporations for satellite data, and drone survey to be undertaken cost-effectively. Review urban land-use plans and policies and current local practices for coping with flooding variability and extreme weather events, such as early warning systems and evacuation planning (if readily prepared and available by the respective Municipal Corporation).
- (iv) Review and analyze climate change projections based on existing literature including State Action Plan on Climate Change and general circulation and regional climate model outputs and assess the associated risks. Define the climate scenario to be considered in the project design with due consideration of the planning horizon. Refer to the data products of IMD and IITM Pune, including the information made available through the Climate Data Portal, Climate Hazards and Vulnerability Atlas of India; 2024 XDI Gross Domestic Climate Risk Report etc.
- (v) Assess plausible flooding scenarios with and without climate change using hydraulic modelling (inundation areas, intensity of flooding, etc.). While analyzing the data and simulation results available, make the best professional judgment in assessing flooding scenarios.
- (vi) Collect available data and review the status of existing drainage structures and future growth plans for extending and/or upgrading drainage structures. Data to be collected can include the type, location, and capacity of drainage assets; water service coverage; and coverage of sewage disposal and sanitation systems.
- (vii) Assessment of the impact of existing/ proposed sewerage system and solid waste

management disposal practices on the drainage system and proposal for the solid waste management plan for the sustainable management of drainage systems. The SWM measures will be undertaken by the City Municipal Corporations through their existing schemes.

- (viii) Simulate the hydrology of urban watersheds and determine flooding, and other impacts using flooding scenarios identified above. Run the flood model under different return periods of rainfall intensity with climate change data points. Adjust project designs to embed structural and non-structural climate change adaptation measures, including Blue Green Grey Infrastructure (BGGI). The results of model estimations for the calibration period will be cross-checked with past data to justify and document the robustness of the models.
- (ix) Detail the potential for the application of nature-based landscape components and propose contextual BGGI along with an investment plan and implementation strategy for City Corporation. This shall include:
 - Based on the urban blue-green infrastructure and water bodies/ waterways in the study area, projected population, LULC pattern and climate risk forecasts the Consultant shall generate scenarios of BGGI application and its effect on climate adaptation in the city
 - The consultant shall develop a suitable contextual BGGI-oriented infrastructure design for implementation by developing a line item in the DPR. The design shall include a combination of structural and non-structural (ecological engineering) measures, alongside an implementation strategy on a short-term and long-term basis, and O&M guide/ requirements for sustainability.
 - Undertake consultation/workshops with key stakeholders, key departments, citizens, and developers to discuss the BGGI investments, its applicability, benefits, operationalization, and implementation plan.
- (x) Prepare a digital map of the assessment results on a geographic information system (GIS) platform. The GIS-based digital map will show flooded areas and duration, and other impacts of flooding under various model results.
- (xi) The model results are to be presented to all stakeholders and the community through seminars, workshops, and consultants to discuss and present issues and potential measures among relevant stakeholders.

Task 3: Detail Investigation Report

The Consultant will prepare and submit a final detailed investigation report for the storm-water drain of the city, incorporating all comments and suggestions by the technical committee.

Task 4: Preparation and presentation of Draft Master Plan

The Draft Master Plan will cover the following aspects and is not limited to:

- (i) Study of Rainfall data and Hydrology for Municipal Corporation area including analysis of historical data & future projected data.
- (ii) Preparation of Intensity-Duration-Frequency curve and calculation of storm-water flow.
- (iii) Effect of climate change on the Intensity-Duration-Frequency (IDF) curve and the possible impact on the drainage design has to be considered. The drainage design shall be climate resilient.
- (iv) HECRAS, SWMM, Open Flows Sewer GEMS computer model or any other suitable type of model shall be used for studying the urban flood routing and hydrology of the

- city.
- (v) Highlight the existing conveyance and disposal system for wastewater & surface runoff.
 - (vi) The topography and development pattern of the project area
 - (vii) The existing population growth as per census and anticipated growth pattern till end of the design period
 - (viii) Study of outfall canals leading to the final outfall at the river. Existing situation, its hydraulic capacity, re-sectioning plan if necessary.
 - (ix) Determination of watersheds and drainage patterns of the study area and its surroundings with the aid of existing maps with contour lines/ NRSA topo sheets and aerial photographs of town & the drainage basin (catchment area) and by carrying out conducting field survey of existing drainage network, condition assessment of existing drainage infrastructure including determination of present Manning's coefficient, hydraulic testing etc. to check the adequacy of size of drain, identification classification of major and primary storm water drains.
 - (x) Undertake feasibility analysis on Nature-based Solutions (NbS) for Ichalkaranji Municipal Corporation based on scale and location, to enhance the capacity to mitigate flood risk in the cities and surrounding environs, reduce the velocity flows and absorb floodwaters. Consultant shall identify watershed or landscape scale (*interconnected system of natural areas and open space in the city*), Neighbourhood or Site Scale (*distributed storm-water management practices that manage rainwater where it falls; practices that are often built into a site, corridor, or neighbourhood without requiring additional space*)
 - (xi) Integrate BGGI and ensure linkages and up-gradation plan for existing water bodies like ponds, lakes etc. and its broad rejuvenation plan to integrate with water drainage management plan, and investment plan.
 - (xii) Description of planning & design norms/criteria for designing of drainage and associated facilities including pumps and retention basins, and non-structural measures including early warning systems, evacuation and emergency plans, flood risk mapping, and land use regulations.
 - (xiii) The consultant will undertake the following tasks to assess the environment and social risk management aspects of SWD works (*as applicable*):
 - Present the environmental and socioeconomic profile of the geographic area covered under the SWD master plan, based on available data on population density/trends, demographics, occupation and livelihoods, income and poverty levels, access to urban services, low-income settlements/slums; land use patterns and other aspects relevant for the master-plan.
 - Summarize the environmental, social, and public health/safety-related risks, vulnerability and impacts of flooding, including gender and social inclusion considerations, based on areas most affected by flooding and extreme weather events in the past;
 - Evaluate the SWD designs to assess potential environment and social risks and impacts arising from the proposed interventions, in the context of their relevance to the World Bank's Environment and Social Framework (ESF) and its Standards (ESSs)⁴ and recommend environment and social risk mitigation measures;
 - Conduct consultations with key stakeholders, especially citizen's groups, elected representatives, relevant line agencies and their frontline workers and NGOs on the proposed SWD master plan, proposed SWD investments and seek public feedback, suggestions and concerns

- Prepare a stakeholder engagement strategy for the SWD Master Plan;
 - The consultant while analyzing alternatives shall compare feasible alternatives to the proposed sub-project sitting, design, technology selection, construction techniques and phasing and operating procedures - including environmental and social risks and impacts “with project” and “without project” scenarios
 - Develop a draft master plan with a horizon of at least 15 years (2040) for storm-water drainage facilities and suggest various project components to accommodate for the shortfall in drainage facilities to meet future demand. Master Plan to:
 - Include the drainage facilities needing rehabilitation, upgrading etc.
 - Identification of flood-prone areas, reasons for flooding and mitigation measures required.
 - Include various conceptual options, preliminary project cost estimates,
 - Identification and prioritization of sub-projects / components to achieve flood mitigation objectives.
 - Highlight the institutional mechanism of operation and maintenance and suggest any structural changes if required.
 - Identification of project components and phasing of works shall be discussed before finalization of the Master Plan.
- (xiv) Any other work analytical required to be performed for flood risk mitigation of the Ichalkaranji Municipal Corporation is also included in the scope of service.

Task 5: Finalization of Master Plan

After review by the Ichalkaranji Municipal Corporation and the stakeholders, the Consultants will then finalize and submit the final Master Plan for the Storm-water Drainage system. The recommendations in the Master Plan will be divided into priority sub-project components based on an evaluation of social, economic, environmental and technical aspects.

Stage IB: Preparation of Detailed Project Reports (DPR)

Task 1: Preparation of Draft Detailed Project Report:

The draft DPR shall include:

- (i) Integrate priority actions into the DPR to be funded under MRDP
- (ii) Evaluation of design alternatives for various scenarios (considering climate change, hydraulic designs, growth scenarios, balance/combination of NbS solutions and hard infrastructure)
- (iii) Design and cost estimation: Detailing of engineering, civil, restoration and rehabilitation works to be financed under the subproject, including special design and materials to be used as well as any soft components (e.g. environmental management)
- (iv) In preparing DPRs of the SWD subprojects, the consultant will (a) collect and present information primary and secondary information on the baseline of physical, biological/natural and socio-cultural environment; (b) carry out site visits and detailed field investigations, with a focus on the environmentally and socially sensitive locations, (c) provide inputs on relevant points for preliminary engineering/technical designs; (d) prepare detailed maps showing details of all proposed investment locations and their surrounding environmental, social and land use contexts.
- (v) Carry out on-site screening of environment and social risks and impacts from the identified SWD investment subprojects and prepare screening reports for each subproject; along with GIS layers showcasing the alignment of the SWD works and standalone work sites (if any).

- (vi) The Consultant shall assess the Environment (including Health and Safety) and Social impacts of the sub-project intervention based on the draft technical design of each sub-project, concerning loss of land, structures, crops, livelihoods, trees, forests, open areas, resource use, pollution/construction management, common properties, tangible and intangible cultural assets (including archaeological structures/features) and other relevant E&S aspects.
- (vii) Undertake systematic consultations with key stakeholders (including experts, departments, and agencies), project-affected communities ((if any) and their elected leaders to solicit their concerns/views on project design and ESIA's; Document/record systematically concerns, feedback and suggestions received from stakeholders and communities -
- (viii) Based on the ESIA, Consultant shall prepare Environment and Social Management Plans (ESMPs) consisting of a set of mitigation, monitoring, and institutional measures required to eliminate/address adverse environmental and social risks and impacts. These instruments shall be prepared as per the requirements of WB's ESSs. ESMP shall provide an implementation schedule for measures that must be carried out as part of the project, showing phasing and coordination with overall project implementation plans; and estimated cost and sources of funds for implementing the ESMP (integrated into the total project/sub-project cost tables). These will include utility shifting plan, traffic management plan, citizen's mobility and safety plan with due consideration for elderly, children, persons with disabilities, etc. ESMP will include all applicable risk mitigation measures related to community health and safety, construction and traffic management, silt management, as well as gender-based violence prevention. The Consultant shall integrate the Environment and Social requirements and cost through appropriate clauses, drawings, line items etc. in the Bid Documents.
- (ix) In case of impact on land, structure, loss of livelihood etc., the Consultant will prepare, Resettlement Action Plans (RAPs) as per the project's ESMF and RPF.
- (x) All outputs/reports under this task – E&S Screening Reports, ESIA's (including RAPs, and ESMPs will be subject to review and concurrence by MITRA and the World Bank.
- (xi) Integrate the Environment and Social requirements and cost through appropriate clauses, drawings, line items etc. in the Bid Documents. All outputs/reports on E&S will be subject to review and concurrence by MITRA and the World Bank.
- (xii) Engineering designs based on the site requirements in sufficient detail to ensure clarity and understanding by the contractors and other relevant stakeholders.
- (xiii) Cost estimates including capital costs, non-structural measures, and operational costs for the subproject activities identified in each location.
- (xiv) Detailed designs to include construction drawings, detailed cost estimates, necessary calculations to determine and justify the engineering details, detailed specifications, engineering drawings, Bill of Quantities (BoQs). BoQ will be prepared according to the Schedule of Rates (SoR) and wherever tailor-made items in the BoQ are not listed in the SoR, Rate Analysis will be carried out based on market rates.
- (xv) Detailed execution action plan with responsible agencies, timeframe and schedule of implementation and indicators.
- (xvi) DPRs to be packaged to undertake priority works.

Task 2: Preparation and submission of Final Detailed Project Report & Bid Document:
The Consultants after adequate incorporation of comments by the City Municipal

Corporations and stakeholders will finalize the DPR and prepare the Bid Document. The document should contain the following sections and is not limited to:

- (i) Detailed specification of works and materials.
- (ii) Detailed drawings layout plan, L-section, civil & structural details, P&I diagram, proposed electrical system drawings and standard details for the proposed drainage/ sewers system, treatment works, pumping stations and proposed drainage system including outfall structures.
- (iii) Estimate in the BoQ format.
- (iv) All technical drawings/ GFC drawings and specifications are ready for bidding and award stages.
- (v) Detailed budget sheet.
- (vi) Formulation of work implementation plan (phasing).
- (vii) Procurement plan.
- (viii) Construction Schedule, indicators for progress monitoring and roles and responsibilities of IMC and other agencies in the implementation and operations of the SWD.
- (ix) Prepare a bid document based on the World Bank Procurement Framework and support IMC in obtaining the necessary clearance.
- (x) Consultant to submit a report of all finalised reports/outputs to Ichalkaranji Municipal Corporation.

Stage II: Intermittent assistance to IMC during the implementation phase

The assistance to IMC during the implementation phase is intermittent and will encompass the following areas:

- (i) Provide analytical/design engineering support if required or based on new information obtained from the field during the implementation of works.
- (ii) Any update to be done in the reports submitted earlier.
- (iii) Prepare a report on the benefits/impacts/drawbacks observed after the completion of the storm-water drain works.

Identification of further studies and investigation required for refinement/further improvement.

D. DURATION:

The duration of Stage I is 5 months, and the expected duration of Stage II is till the end of construction contract completion.

E. TIMELINE OF DELIVERABLES & PAYMENT:

Sr. No	Stage	Deliverable	Timeline	Payment Schedule
1	IA	Task 1: Inception Report Indicating methodology of collecting data, conducting models, detailed work plan, and implementation schedule.	Within 10 days of the date of contract signing.	10%
2	IA	Task 2: Situational Analysis & Collection of Additional Data for calibrating the hydraulic design of storm-water drains, Interim report including outputs. <i>(Methodology, collected and surveyed data, scenarios of options, Nbs/ BGGI option findings backed by analysis, model results, environment and social safeguard assessments/reports)</i>	Within 40 days of the date of contract signing.	10%
3	IA	Task 3: Detailed Investigation Report, and all additional reports	Within 60 days of the date of contract signing.	10%
4	IA	Task 4: Preparation and presentation of Draft Master Plan, and all additional reports	Within 80 days of the date of contract signing.	7.5%
5	IA	Task 5: Final Master Plan and final version of all supporting reports	Within 90 days of the date of contract signing.	7.5%
6	IB	Task 1: Preparation of Draft Detailed Project Report	Within 100 days of the date of contract signing	10%
7	IB	Task 2: Preparation and submission of Final Detailed Project Report	Within 120 days of the date of contract signing	15%
8	IB	Task 3: Preparation and submission of Bid Documents	Within 150 days of the date of contract signing	10%
9	II	Intermittent assistance to IMC during the Implementation Phase	For the duration of the construction period (on completion of 25%)	5%
10	II	Intermittent assistance to IMC during the Implementation Phase	For the duration of the construction period (on completion of 50%)	5%
11	II	Intermittent assistance to IMC during the Implementation Phase	For the duration of the construction period (on completion of 75%)	5%
12	II	Intermittent assistance to IMC during the Implementation Phase	For the duration of the construction period (on completion of 100%)	5%

Table 4: Timeline of Deliverables & Payment

NOTE: Payments shall be made only on Acceptance of report/s. If the Client does not revert within one month of receipt of a report, it will be deemed as accepted.

The consultants shall make necessary presentations and shall be available for discussions with necessary key personnel, before the City Corporations, Key Departments, and World Bank Task Team on MRDP during various stages of the study as and when required, apart from the technical committee reviews. The consultant shall submit 2 hard copies for each of the deliverables and submit soft copies on email and pen drive (wherever applicable) of all reports, shape files, GIS analysis, and GFC drawings. All the designs, data (raw, processed, calculated, software outputs/ files), and editable versions of the reports shall be submitted in soft copies. The final report (approved version) shall be hard bound and submitted with 2 copies along with a soft copy (both editable & non-editable and all drawings, etc.) on a hard disk/ pen drive. The report layout and arrangement of chapters shall be shared with the client before submission of the reports.

F. REVIEW OF REPORTS:

A technical committee constituted of representatives from the City Municipal Corporations and key stakeholder departments will review the work progress and review the report for quality control, data adequacy, model results and approval of the deliverables. The comments or views suggested by the technical committee on the various deliverables shall be shared with the consultant within 7 business days of submission for incorporation/further action. Payment is subject to the approval of the Technical Committee.

Apart from the deliverables the Consultant will, no later than the end of every 2 weeks, submit a progress report summarizing the stage-wise progress and a monthly progress report summarizing all activities as cited above in the Tasks under Stage I and II.

G. DATA, SERVICE & FACILITIES TO BE PROVIDED BY THE CLIENT:

The Client/Municipal Corporations will provide the following facilities to the Consultant:

- (i) Existing data relating to this work available with key departments/agencies, land data/ details, sketch/ maps of the city, GIS data prepared for various projects and uploaded on the portal, available reports, studies, hydrology and other data, photographs, maps, institutions relating to the works, access to all sites for surveys and investigations.
- (ii) Access to previous study/reports about the Master Plan or any other critical data available and required for the DPR preparation.
- (iii) Issue letters to key agencies for access to data such as rainfall data, river discharge information, flood information, existing topographic survey data, cross-section data of rivers and streams, climate data, satellite image/drone survey data through MRSAC or NRSC etc.
- (iv) Approvals for field investigations, surveys etc
- (v) Focal-point staff for coordination and expedite the required approvals for preparation of storm-water drainage master plan and DPRs.

H. PROPOSED TEAM:

Key & Non-Key Personals Required For Consultancy Services.

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
A Key Personnel						
1	Team Leader	1 No.	6	6	Post Graduate/ Graduate in Civil/ Environmental Engineering/ Public Health/ Water resources/ Hydrology or relevant discipline with working experience of about 15 years and should have a minimum of 10 years experience related to storm-water/ urban drainage projects, technical knowledge of flood risk assessment, including storm-water modelling exercises, DPR preparation for ULBs/ Government Agencies.	<ul style="list-style-type: none"> • Be responsible for ensuring satisfactory performance and all mandatory deliverables and reports of the consultant service as per the 'Scope of Work'. • Liaise with all the relevant stakeholders for the implementation of the consulting service. • Identify and collect data and information that are needed for assessing options for BGGI and urban flood risk management. • Present issues and potential measures among relevant stakeholders. • Assess various investment options scenarios based on the available data and inputs and engage in the modelling • Identify and evaluate various mitigation options, in particular, structural and non-structural measures, concerning national and international best practices. • Ensure the integration of priority options into MRDP. • Co-ordination with Client and Bank Task Team. • Manage the flow of day-to-day operations. • Coordinate the review; attend meetings, presentation and

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
						<p>release of design layouts, drawings, analysis and other documentation.</p> <ul style="list-style-type: none"> • Assist Municipal Corporation with Technical/ Administrative approval of the DPR. • Overall Project monitoring to achieve project milestones and timely completion of project. • Preparation and submission of work Progress report. • Quality Control of all outputs about Stage I and Stage II (analysis, reports, master plan, DPRs).
2	Hydraulic Design Engineer	1No.	5	5	<p>Graduate Degree in Civil/ Environmental Engineering/ Water resource engineering or equivalent and overall minimum 10 years experience in hydraulic design work related to flood management, network modelling, planning & design storm-water drainage system and a thorough knowledge of the use of popular software required for SWM.</p>	<ul style="list-style-type: none"> • Collect and qualify rainfall data, elevation data, land use data, river flow data, and • Other data necessary for hydraulic modelling, in close coordination with subject experts and clients. • To carry out hydraulic modelling and flood risk-based studies. • Conduct hydrologic and hydraulic modelling using software tools and calibrate the models using ground truth data. • Develop and evaluate design documents, including specifications, drawings, and calculations. • Review and approve engineering design documents, including specifications, drawings, and

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
						<p>calculations.</p> <ul style="list-style-type: none"> • Carry out computer analysis of the existing drainage networks and identify existing hydraulic constraints and their rectification for incorporation in the MRDP. • Prepare several scenarios using the modelling for the arrival of BGGI investments. • To support in preparation of Detailed Project Report.
3	Sr. Design Engineer/ Drainage Specialist	1 No	5	5	<p>Post Graduate/ Graduate Civil/ Environmental Engineering/ Hydraulic Structure/ Water Resource/ Hydrology or equivalent and Masters degree in environmental/ public health or equivalent with a minimum 10 year experience in designing of large integrated drainage projects, waste water projects, sewer lines, wastewater treatment plants, sewer pumping stations etc.</p>	<ul style="list-style-type: none"> • Preparation Hydraulic Design of storm collection system using the set norms/ guidelines and software suite • Design Complete existing Storm water collection network maps, • Check and extract the result of the adequacy of existing network & identify problematic network & issues • Design adequate network for replacing exiting inadequate and proposed and design new network in target area, considering BGGI approach to investments. • Assist in conducting the drainage network modelling and planning the proposed drainage systems in close coordination with Hydraulic Design engineer. • Propose drainage networks as effective flood mitigation measures and determine relevant parameters necessary for designing of drainage

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
						<p>systems in coordination with the client.</p> <ul style="list-style-type: none"> • Providing processed output data to the estimation team • Finalize designing, technical specifications, cost estimates and BoQ of the proposed drainage systems.
4	Estimation Engineer	1 No.	3	3	Graduate in Civil Engineering having experience of minimum of 7 years related to storm-water/ urban drainage projects for ULBs/ Government Agencies.	<ul style="list-style-type: none"> • Estimating quantities of the project • Guide estimation team in day-to-day work • Preparation of cost Estimates, grouping of estimate components for expected phasing of works, co-ordinate with Team Leader & Project Engineer. • Collecting quotations & Preparing rate analysis for Non-DSR items • Preparation of Estimates & BoQ for tenders
5	GIS Expert	2	4	8	GIS expert should have a degree in science/ Geography/ any engineering discipline preferably with a master's in GIS. In addition, he/ she should have at least 7 years of experience in GIS development in water/ drainage network/ urban/ city planning, for Infrastructure projects for ULBs/ Government	<ul style="list-style-type: none"> • Creation of City Base Map • Preparation of GIS Base Map Showing the Storm Network with attributes agreed upon by the Municipal Corporation • Support and coordinating with the topographical survey team • Preparation of various drawings on AutoCAD/ Civil 3D Software's • Construct the GIS database for hydraulic modelling by identifying utilities/ networks and integrating the existing database.

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
					Agencies	
6	Watershed Management cum NbS Expert	1 No	4	4	Graduate in Environmental Science/ Civil Engineering with min 5 Years or diploma in civil engineering with min 10 years of experience in watershed management or NbS	<ul style="list-style-type: none"> • Develop urban watershed management plans in collaboration with local communities, government agencies, and other stakeholders, for reducing surface runoff through nature-based interventions. • To study and suggest appropriate land use practices related to flood water management practices. • Mapping of water bodies, catchment area, drainage systems, inlet points, etc. • Designing of rejuvenation plans for blue and green infrastructure.
7	Environmental Safeguards Specialist	1 No	4	4	B. Tech in Environmental Engineering or Master's degree in Environmental Science or equivalent. A minimum of 8 years of specific experience as an Environmental Safeguards Expert in preparing environmental impact assessment (EIA) for multilateral development banks in the integrated urban sector is desirable. Should possess up-to-date knowledge of	<ul style="list-style-type: none"> • Carry out baseline environmental surveys, and prepare an Initial Screening Report or Environment Impact Assessment (EIA) as required. • Prepare an Environmental Management Plan and Environmental mitigation measures.

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
					environmental laws and regulations of India, World Bank Safeguard Policies.	
8	Social Safeguards Specialist	1 No	4	4	Post graduate in Social sciences/ Sociology / Anthropology or equivalent with at least 8 years of experience as a Social Safeguards Expert on similar urban infrastructure projects; Hands on operational experience in preparation/ implementation of land acquisition and involuntary resettlement plans, stakeholder consultations and engagement plans, socioeconomic baselines, safeguard screening Environment and social management plans Should possess up-to-date knowledge of social safeguard laws and regulations of India, including World Banks ES Standards.	<ul style="list-style-type: none"> • Integrate socioeconomic baseline, social impact assessment, stakeholder engagement and social design recommendations in the SWD Master plan. • Carry out stakeholder consultations and safeguard screening for the SWD master plan and priority investments. • Prepare social safeguard relevant baseline and carry out detailed impact assessment for the SWD subprojects. • prepare social safeguard screening reports and ESMPs as part of the DPRs (including RAPs when applicable) • Ensure ESMPs with budgets and implementation requirements are integrated in the bidding documents and construction contracts.
Total (estimated person Months for Key Personnel)				39		
B	Non-Key Personnel					

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
1	Technical project Coordinator /QA Engineer	2 Nos.	4	8	Graduate/ Diploma in Civil Engineering with min 5 years of experience in project monitoring	<ul style="list-style-type: none"> Monitoring the day-to-day execution work. Review implementation plan & Project Documentation. Quality Control and Site Supervision. Coordination with Client and Contractor.
2	Junior Engineer	3 Nos.	4	12	Graduate/ Diploma in Civil Engineering with experience of 3 to 5 years of experience.	<ul style="list-style-type: none"> Data Collection Checking Survey Data Carried out a Reconnaissance survey of the project area. Carried out manhole opening survey and prepared draft map for all existing Storm collection network. Check plans, drawings and quantities for accuracy of calculations. Checking drawings from the Drainage department, Municipal Corporation. Support to design engineer and drawing team as per requirements related to field data. Liaising with the local authority.
3	AutoCAD Draughtsman	3 Nos	4	12	ITI/ any graduate with certification in CAD with min 5 years of experience.	<ul style="list-style-type: none"> Preparation of various Drawings, designs, GIS Mapping on various software platforms.
4	Survey Engineer	2 Nos.	4	8	Graduate/ Diploma in Civil Engineering having experience of min 5 years related to storm-water/ urban drainage projects for ULBs.	<ul style="list-style-type: none"> Carrying out various survey works, topographic surveys, DGPS surveys, Network surveys, Geotechnical investigation, Preparation of design drawings, data collection and its analysis.

Sr. No	Description	No.	Months	Estimated Person Months	Minimum Qualification and experience	Key Task
5	Structural Engineer	1 No	4	4	Graduate in Civil Engineering with Min 07 years of experience in structural designing.	<ul style="list-style-type: none"> Review the structural design and drawings. Review existing structures and suggest appropriate measures.
6	Community Engagement and Field Coordination Expert	2 Nos	4	8	Graduation with experience in working with urban communities	<ul style="list-style-type: none"> Supporting the integration of ES aspects in the master plan, DPRs and ESMPs. Supporting community engagement and consultation activities;

Table 5: Key & Non-Key Personals Required for Consultancy Services

PART II

Section 8. Conditions of Contract and Contract Forms

LUMP-SUM FORM OF CONTRACT

STANDARD FORM OF CONTRACT

Consultant's Services

Lump-Sum

TABLE OF CONTENTS

I.	Form of Contract	101
II.	General Conditions of Contract.....	105
A.	General Provisions.....	105
1.	Definitions	105
2.	Relationship between the Parties.....	106
3.	Law Governing Contract	106
4.	Language	107
5.	Headings.....	107
6.	Communications.....	107
7.	Location.....	107
8.	Authority of Member in Charge.....	107
9.	Authorized Representatives.....	107
10.	Fraud and Corruption	107
B.	Commencement, Completion, Modification and Termination of Contract.....	108
11.	Effectiveness of Contract	108
12.	Termination of Contract for Failure to Become Effective	108
13.	Commencement of Services	108
14.	Expiration of Contract	108
15.	Entire Agreement.....	108
16.	Modifications or Variations.....	108
17.	Force Majeure.....	109
18.	Suspension.....	110
19.	Termination	110
C.	Obligations of the Consultant	112
20.	General	112
21.	Conflict of Interest.....	113
22.	Confidentiality	114
23.	Liability of the Consultant.....	114
24.	Insurance to be taken out by the Consultant.....	114
25.	Accounting, Inspection and Auditing.....	115
26.	Reporting Obligations	115
27.	Proprietary Rights of the Client in Reports and Records	115
28.	Equipment, Vehicles and Materials.....	116
29.	Code of Conduct.....	116
30.	Forced Labor	116
31.	Child Labor	116
32.	Non-Discrimination and Equal Opportunity	117
33.	Training of Experts.....	118

D. Consultant's Experts and Sub-Consultants.....	118
34. Description of Key Experts	118
35. Replacement of Key Experts	118
36. Removal of Experts or Sub-consultants	118
E. Obligations of the Client	119
37. Assistance and Exemptions	119
38. Access to Project Site	120
39. Change in the Applicable Law Related to Taxes and Duties	120
40. Services, Facilities and Property of the Client	120
41. Counterpart Personnel	120
42. Payment Obligation	121
F. Payments to the Consultant	121
43. Contract Price	121
44. Taxes and Duties	121
45. Currency of Payment	121
46. Mode of Billing and Payment	121
47. Interest on Delayed Payments	122
G. Fairness and Good Faith	122
48. Good Faith	122
H. Settlement of Disputes	123
49. Amicable Settlement	123
50. Dispute Resolution	123
B. Commencement, Completion, Modification and Termination of Contract	125
51. Effectiveness of Contract	125
52. Termination of Contract for Failure to Become Effective	125
53. Commencement of Services	125
54. Expiration of Contract	125
55. Entire Agreement	125
56. Modifications or Variations	125
57. Force Majeure	126
58. Suspension	127
59. Termination	127
C. Obligations of the Consultant	130
60. General	130
61. Conflict of Interest	131
62. Confidentiality	132
63. Liability of the Consultant	132
64. Insurance to be taken out by the Consultant	132
65. Accounting, Inspection and Auditing	132
66. Reporting Obligations	133

67.	Proprietary Rights of the Client in Reports and Records	133
68.	Equipment, Vehicles and Materials	134
69.	Code of Conduct.....	134
70.	Forced Labor	134
71.	Child Labor	134
72.	Non-Discrimination and Equal Opportunity	135
73.	Training of Experts.....	136
D.	Consultant’s Experts and Sub-Consultants.....	136
74.	Description of Key Experts	136
75.	Replacement of Key Experts	136
76.	Removal of Experts or Sub-consultants	136
E.	Obligations of the Client	137
77.	Assistance and Exemptions	137
78.	Access to Project Site	138
79.	Change in the Applicable Law Related to Taxes and Duties	138
80.	Services, Facilities and Property of the Client	139
81.	Counterpart Personnel	139
82.	Payment Obligation	139
F.	Payments to the Consultant	139
83.	Contract Price	139
84.	Taxes and Duties	139
85.	Currency of Payment.....	140
86.	Mode of Billing and Payment.....	140
87.	Interest on Delayed Payments	141
G.	Fairness and Good Faith	141
88.	Good Faith.....	141
H.	Settlement of Disputes.....	141
89.	Amicable Settlement	141
90.	Dispute Resolution	142
III.	Special Conditions of Contract.....	147
IV.	Appendices	155
	Appendix A – Terms of Reference.....	155
	Appendix B - Key Experts	155
	Appendix C – Breakdown of Contract Price.....	155
	Appendix D - Form of Advance Payments Guarantee	158

CONTRACT FOR CONSULTANT'S SERVICES

Lump-Sum

Project Name: Maharashtra Resilience Development Program (MRDP)

[Loan/Credit/Grant] No. _____

Contract No. _____

Assignment Title:

Project Management and Technical Consultant (PMTTC) for urban flood management – Ichalkaranji Municipal Corporation

between

**The Municipal Commissioner, Ichalkaranji Municipal Corporation
Bharat Ratna Atal Bihari Vajpayee Chouk,
Station Road, Ichalkaranji- 416115**

and

[Name of the Consultant]

Dated: _____

I. Form of Contract

LUMP-SUM

This CONTRACT (hereinafter called the “Contract”) is made the *[number]* day of the month of *[month]*, *[year]*, between, on the one hand, **The Municipal Commissioner, Ichalkaranji Municipal Corporation Station Road, Ichalkaranji- 416115** (hereinafter called the “Client”) and,

on the other hand, *[name of Consultant]* (hereinafter called the “Consultant”).

*[If the Consultant consist of more than one entity, the above should be partially amended to read as follows: “...(hereinafter called the “Client”) and, on the other hand, a Joint Venture (name of the JV)/collaboration consisting of the following entities, each member of which will be jointly and severally liable to the Client for all the Consultant’s obligations under this Contract, namely, *[name of member]* and *[name of member]* (hereinafter called the “Consultant”).]*

WHEREAS

- (a) the Client has requested the Consultant to provide certain consulting services as defined in this Contract (hereinafter called the “Services”);
- (b) the Consultant, having represented to the Client that it has the required professional skills, expertise and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract;
- (c) the Client has applied for a loan from the *World Bank* toward the cost of the Services and intends to apply a portion of the proceeds of this loan to eligible payments under this Contract, it being understood that (i) payments by the Bank will be made only at the request of the Client and upon approval by the Bank; (ii) such payments will be subject, in all respects, to the terms and conditions of the loan agreement, including prohibitions of withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of goods, if such payment or import, to the knowledge of the Bank, is prohibited by the decision of the United Nations Security council taken under Chapter VII of the Charter of the United Nations; and (iii) no party other than the Client shall derive any rights from the loan agreement or have any claim to the loan proceeds;

NOW THEREFORE the parties hereto hereby agree as follows:

1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
 - (a) The General Conditions of Contract (including Attachment 1 “Fraud and Corruption”);
 - (b) The Special Conditions of Contract;
 - (c) Appendices:

Appendix A: Terms of Reference

Appendix B: Key Experts

Appendix C: Breakdown of Contract Price

Appendix D: Form of Advance Payments Guarantee

In the event of any inconsistency between the documents, the following order of precedence shall prevail: The Special Conditions of Contract; the General Conditions of Contract, including Attachment 1; Appendix A; Appendix B; Appendix C; Appendix D, and Appendix E. Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

2. The mutual rights and obligations of the Client and the Consultant shall be as set forth in the Contract, in particular:
 - (a) the Consultant shall carry out the Services in accordance with the provisions of the Contract; and
 - (b) the Client shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of

The Municipal Commissioner, Ichalkaranji Municipal Corporation
[Authorized Representative of the Client – name, title and signature]

For and on behalf of *[Name of Consultant or Name of a Joint Venture/Collaboration]*

[Authorized Representative of the Consultant – name and signature]

[For a joint venture/collaboration, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached.]

For and on behalf of each of the members of the Consultant *[insert the Name of the Joint Venture/collaboration]*

[Name of the lead member]

[Authorized Representative on behalf of a Joint

Venture/Collaboration]

[add signature blocks for each member if all are signing]

II. General Conditions of Contract

A. GENERAL PROVISIONS

1. Definitions

- 1.1. Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
- (a) **“Applicable Law”** means the laws and any other instruments having the force of law in the Client’s country, or in such other country as may be specified in the **Special Conditions of Contract (SCC)**, as they may be issued and in force from time to time.
 - (b) **“Bank”** means the International Bank for Reconstruction and Development (IBRD) or the International Development Association (IDA).
 - (c) **“Borrower”** means the Government, Government agency or other entity that signs the financing agreement with the Bank.
 - (d) **“Client”** means the implementing agency that signs the Contract for the Services with the Selected Consultant.
 - (e) **“Client’s Personnel”** refers to the staff, labor and other employees (if any) of the Client engaged in fulfilling the Client’s obligations under the Contract; and any other personnel identified as Client’s Personnel, by a notice from the Client to the Consultant.
 - (f) **“Consultant”** means a legally-established professional consulting firm or entity selected by the Client to provide the Services under the signed Contract.
 - (g) **“Contract”** means the legally binding written agreement signed between the Client and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
 - (h) **“Day”** means a working day unless indicated otherwise.
 - (i) **“Effective Date”** means the date on which this Contract comes into force and effect pursuant to Clause GCC 11.
 - (j) **“Experts”** means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.

-
- (k) **“Foreign Currency”** means any currency other than the currency of the Client’s country.
- (l) **“GCC”** means these General Conditions of Contract.
- (m) **“Government”** means the government of the Client’s country.
- (n) **“Joint Venture (JV)”** means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (o) **Collaboration** :- The contractor who is willing to participate in tender process, and if he is not having experience of particular sub-work, then he is allowed to have collaboration with other agency or contractor registered with Maharashtra Jeevan Pradhikaran or any government department in India or any contractor(in case of automation) in appropriate class and having experience of the particular sub-work as specified in pre-qualification criteria. Contractor with whom above collaboration is done shall be responsible for successful completion of the works. However it will be the responsibility of the principal contractor to get the work done.
- In no case value of work to be done by collaborator, with whom collaboration is made, should exceed the value of work to be done by the Principal Contractor.
 - The collaborating firm shall have collaboration with only one principal contractor.
 - The principal Contractor shall be ultimately responsible for completion of entire work.
 - Moreover with whom collaboration is made will only be binding to carry out the work to the effect of principal contractor & should submit an agreement on Rs. 500/- stamp paper as per prescribed form duly Notarized at the time of pre-qualification of bidder.
- (p) **“Key Expert(s)”** means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant’s proposal.
- (q) **“Local Currency”** means the currency of the Client’s country.
- (r) **“Non-Key Expert(s)”** means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part thereof under the Contract.
- (s) **“Party”** means the Client or the Consultant, as the case may be, and **“Parties”** means both of them.

- (t) **“SCC”** means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- (u) **“Services”** means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- (v) **“Sub-consultants”** means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.
- (w) **“Third Party”** means any person or entity other than the Government, the Client, the Consultant or a Sub-consultant.

2. Relationship between the Parties

2.1. Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Client and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Sub-consultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

3. Law Governing Contract

3.1. This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law.

4. Language

4.1. This Contract has been executed in the language specified in the SCC, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

5. Headings

5.1. The headings shall not limit, alter or affect the meaning of this Contract.

6. Communications

6.1. Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the language specified in Clause GCC 4. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the SCC.

6.2. A Party may change its address for notice hereunder by giving the other Party any communication of such change to the address specified in the SCC.

7. Location

7.1. The Services shall be performed at such locations as are specified in **Appendix A** hereto and, where the location of a particular task is not so specified, at such locations, whether in the Government’s country or elsewhere, as the Client may approve.

- 8. Authority of Member in Charge**
- 8.1. In case the Consultant is a Joint Venture/collaboration, the members hereby authorize the member specified in the **SCC** to act on their behalf in exercising all the Consultant's rights and obligations towards the Client under this Contract, including without limitation the receiving of instructions and payments from the Client.
- 9. Authorized Representatives**
- 9.1. Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Client or the Consultant may be taken or executed by the officials specified in the **SCC**.
- 10. Fraud and Corruption**
- 10.1. The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework, as set forth in Attachment 1 to the GCC.
- a.Commissions and Fees**
- 10.2. The Client requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to agents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions, gratuities or fees may result in termination of the Contract and/or sanctions by the Bank.

B. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT

- 11. Effectiveness of Contract** 11.1. This Contract shall come into force and effect on the date (the “Effective Date”) of the Client’s notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SCC have been met.
- 12. Termination of Contract for Failure to Become Effective** 12.1. If this Contract has not become effective within such time period after the date of Contract signature as specified in the SCC, either Party may, by not less than twenty two (22) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.
- 13. Commencement of Services** 13.1. The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than the number of days after the Effective Date specified in the SCC.
- 14. Expiration of Contract** 14.1. Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of such time period after the Effective Date as specified in the SCC.
- 15. Entire Agreement** 15.1. This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.
- 16. Modifications or Variations** 16.1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.
- 16.2. In cases of substantial modifications or variations, the prior written consent of the Bank is required.

17. Force Majeure

- a. Definition**
- 17.1. For the purposes of this Contract, “Force Majeure” means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party’s performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action confiscation or any other action by Government agencies.
- 17.2. Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party’s Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.
- 17.3. Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.
- b. No Breach of Contract**
- 17.4. The failure of a Party to fulfill any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract in so far as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.
- c. Measures to be Taken**
- 17.5. A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.
- 17.6. A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.
- 17.7. Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to

perform such action as a result of Force Majeure.

17.8. During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Client, shall either:

- (a) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Client, in reactivating the Services; or
- (b) continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.

17.9. In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the matter shall be settled according to Clauses GCC 49 & 50.

18. Suspension

18.1. The Client may, by written notice of suspension to the Consultant, suspend part or all payments to the Consultant hereunder if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

19. Termination

19.1. This Contract may be terminated by either Party as per provisions set up below:

a. By the Client

19.1.1. The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and at least five (5) calendar days' written notice in case of the event referred to in (f):

- (a) If the Consultant fails to remedy a failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GCC 18;
- (b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any

law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;

- (c) If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 50.1;
- (d) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
- (e) If the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
- (f) If the Consultant fails to confirm availability of Key Experts as required in Clause GCC 13.

19.1.2. Furthermore, if the Client determines that the Consultant has engaged in Fraud and Corruption in competing for or in executing the Contract, then the Client may, after giving fourteen (14) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

b. By the Consultant

19.1.3. The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.

- (a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause GCC 45.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- (b) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- (c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 50.1.
- (d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach.

c. Cessation of Rights and Obligations

19.1.4. Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and

obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 22, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 25 and to cooperate and assist in any inspection or investigation, and (iv) any right which a Party may have under the Applicable Law.

- d. Cessation of Services** 19.1.5. Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Client, the Consultant shall proceed as provided, respectively, by Clauses GCC 27 or GCC 28.
- e. Payment upon Termination** 19.1.6. Upon termination of this Contract, the Client shall make the following payments to the Consultant:
- (a) payment for Services satisfactorily performed prior to the effective date of termination; and
 - (b) in the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

C. OBLIGATIONS OF THE CONSULTANT

20. General

- a. Standard of Performance** 20.1. The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with the third parties.
- 20.2. The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to

carry out the Services.

20.3. The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Client. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

**b. Law
Applicable to
Services**

20.4. The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.

20.5. Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in the Client's country when

(a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country; or

(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.

20.6. The Client shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.

21. Conflict of Interest

21.1. The Consultant shall hold the Client's interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.

**a. Consultant
Not to Benefit
from
Commissions,
Discounts, etc.**

21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 43 through 47) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.

21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant shall comply with

the Bank's Applicable Regulations, and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Client.

- b. Consultant and Affiliates Not to Engage in Certain Activities** 21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or non-consulting services resulting from or directly related to the Consultant's Services for the preparation or implementation of the project.
- c. Prohibition of Conflicting Activities** 21.1.4 The Consultant shall not engage, and shall cause its Experts as well as its Sub-consultants not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract.
- d. Strict Duty to Disclose Conflicting Activities** 21.1.5 The Consultant has an obligation and shall ensure that its Experts and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Client, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.
- 22. Confidentiality** 22.1. Except with the prior written consent of the Client, the Consultant and the Experts shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or as a result of, the Services.
- 23. Liability of the Consultant** 23.1. Subject to additional provisions, if any, set forth in the SCC, the Consultant's liability under this Contract shall be provided by the Applicable Law.
- 24. Insurance to be taken out by the Consultant** 24.1. The Consultant (i) shall take out and maintain, and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage specified in the SCC, and (ii) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that

the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC 13.

**25. Accounting,
Inspection and
Auditing**

- 25.1. The Consultant shall keep, and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.
- 25.2. Pursuant to paragraph 2.2 e. of Attachment 1 to the General Conditions, the Consultant shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Consultant's and its Subcontractors' and subconsultants' attention is drawn to Clause GCC 10.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).

**26. Reporting
Obligations**

- 26.1. The Consultant shall submit to the Client the reports and documents specified in **Appendix A**, in the form, in the numbers and within the time periods set forth in the said Appendix.

**27. Proprietary Rights
of the Client in
Reports and
Records**

- 27.1. Unless otherwise indicated in the **SCC**, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Client in the course of the Services shall be confidential and become and remain the absolute property of the Client. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Client.
- 27.2. If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other

documents and software, the Consultant shall obtain the Client's prior written approval to such agreements, and the Client shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shall be specified in the SCC.

28. Equipment, Vehicles and Materials

28.1. Equipment, vehicles and materials made available to the Consultant by the Client, or purchased by the Consultant wholly or partly with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Client an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Client's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Client in writing, shall insure them at the expense of the Client in an amount equal to their full replacement value.

28.2. Any equipment or materials brought by the Consultant or its Experts into the Client's country for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

29. Code of Conduct

Not used

30. Forced Labor

30.1. The Consultant, including its Sub consultants, shall not employ or engage forced labor. Forced labor consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labor, such as indentured labor, bonded labor or similar labor-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harboring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

31. Child Labor

31.1. The Consultant, including its Sub consultants, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Consultant, including its Sub consultants, shall not employ or engage a child between the minimum age and the age of 18

in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Consultant, including its Sub consultants, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Consultant with the Client's consent. The Consultant shall be subject to regular monitoring by the Client that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- (a) with exposure to physical, psychological or sexual abuse;
- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

32. Non-Discrimination and Equal Opportunity

32.1. The Consultant shall not make decisions relating to the employment or treatment of Experts on the basis of personal characteristics unrelated to inherent job requirements. The Consultant shall base the employment of Experts on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Consultant shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women,

people with disabilities, migrant workers and children (of working age in accordance with Clause GCC 31).

33. Training of Experts

33.1. The Consultant shall provide appropriate sensitization to the Experts on social aspects of the Contract, including on prohibition of SEA and SH.

The Consultant shall provide training on SEA and SH, including its prevention, to any of its Experts who has a role to supervise other Experts.

D. CONSULTANT’S EXPERTS AND SUB-CONSULTANTS

34. Description of Key Experts

34.1. The title, agreed job description, minimum qualification and estimated period of engagement to carry out the Services of each of the Consultant’s Key Experts are described in **Appendix B**.

35. Replacement of Key Experts

35.1. Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts.

35.2. Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant’s written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

36. Removal of Experts or Sub-consultants

36.1. If the Client finds that any of the Experts or Sub-consultant:

- a) persists in any misconduct or lack of care;
- b) carries out duties incompetently or negligently;
- c) fails to comply with any provision of the Contract;
- d) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Services;

the Consultant shall, at the Client’s written request, provide a replacement.

36.2. In the event that any of Key Experts, Non-Key Experts or Sub-consultants is found by the Client to be incompetent or incapable in discharging assigned duties, the Client, specifying the grounds therefore, may request the Consultant to provide a replacement.

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- 36.3. Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be acceptable to the Client.
- 36.4. Subject to the requirements in Clause GCC 36.3, and notwithstanding any requirement from the Client to request a replacement, the Consultant shall take immediate action as appropriate in response to any violation of (a) through (e) above. Such immediate action shall include removing (or causing to be removed) from carrying out the Services, any Expert who engages in (a) to (e) above.
- 36.5. The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

E. OBLIGATIONS OF THE CLIENT

37. Assistance and Exemptions

- 37.1. Unless otherwise specified in the SCC, the Client shall use its best efforts to:
- (a) Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
 - (b) Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Client's country while carrying out the Services under the Contract.
 - (c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.
 - (d) Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
 - (e) Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in the Client's country according to the applicable law in the Client's country.
 - (f) Assist the Consultant, any Sub-consultants and the Experts

of either of them with obtaining the privilege, pursuant to the applicable law in the Client's country, of bringing into the Client's country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.

- (g) Provide to the Consultant any such other assistance as may be specified in the SCC.

38. Access to Project Site

38.1. The Client warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of them.

39. Change in the Applicable Law Related to Taxes and Duties

39.1. If, after the date of this Contract, there is any change in the applicable law in the Client's country with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the Contract price amount specified in Clause GCC 39.1.

40. Services, Facilities and Property of the Client

40.1. The Client shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (**Appendix A**) at the times and in the manner specified in said **Appendix A**.

41. Counterpart Personnel

41.1. The Client shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Client with the Consultant's advice, if specified in **Appendix A**.

41.2. Professional and support counterpart personnel, excluding Client's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may

request the replacement of such member, and the Client shall not unreasonably refuse to act upon such request.

**42. Payment
Obligation**

42.1. In consideration of the Services performed by the Consultant under this Contract, the Client shall make such payments to the Consultant for the deliverables specified in **Appendix A** and in such manner as is provided by GCC F below.

F. PAYMENTS TO THE CONSULTANT

43. Contract Price

43.1. The Contract price is fixed and is set forth in the **SCC**. The Contract price breakdown is provided in **Appendix C**.

43.2. Any change to the Contract price specified in Clause GCC 43.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing the Terms of Reference in **Appendix A**.

44. Taxes and Duties

44.1. The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract unless it is stated otherwise in the **SCC**.

44.2. As an exception to the above and as stated in the **SCC**, all local identifiable indirect taxes (itemized and finalized at Contract negotiations) are reimbursed to the Consultant or are paid by the Client on behalf of the Consultant.

**45. Currency of
Payment**

45.1. Any payment under this Contract shall be made in the currency of the Contract.

**46. Mode of Billing and
Payment**

46.1. The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 43.1.

46.2. The payments under this Contract shall be made in lump-sum instalments against deliverables specified in **Appendix A**. The payments will be made according to the payment schedule stated in the **SCC**.

46.2.1 *Advance payment:* Unless otherwise indicated in the **SCC**, an advance payment shall be made against an advance payment bank guarantee acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the **SCC**. Such guarantee (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in **Appendix D**, or in such other form as the Client shall have approved in writing. The advance payments will be set off by the Client in equal portions against the lump-sum installments specified in the **SCC** until said

advance payments have been fully set off.

46.2.2 *The Lump-Sum Installment Payments.* The Client shall pay the Consultant within sixty (60) days after the receipt by the Client of the deliverable(s) and the cover invoice for the related lump-sum installment payment. The payment can be withheld if the Client does not approve the submitted deliverable(s) as satisfactory in which case the Client shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

46.2.3 *The Final Payment.* The final payment under this Clause shall be made only after the final report have been submitted by the Consultant and approved as satisfactory by the Client. The Services shall then be deemed completed and finally accepted by the Client. The last lump-sum installment shall be deemed approved for payment by the Client within ninety (90) calendar days after receipt of the final report by the Client unless the Client, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

46.2.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC.

46.2.5 With the exception of the final payment under 46.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations hereunder.

47. Interest on Delayed Payments

47.1. If the Client had delayed payments beyond fifteen (15) days after the due date stated in Clause GCC 46.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

G. FAIRNESS AND GOOD FAITH

48. Good Faith

48.1. The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

H. SETTLEMENT OF DISPUTES

- 49. Amicable Settlement**
- 49.1. The Parties shall seek to resolve any dispute amicably by mutual consultation.
- 49.2. If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fourteen (14) days after receipt. If that Party fails to respond within fourteen (14) days, or the dispute cannot be amicably settled within fourteen (14) days following the response of that Party, Clause GCC 50.1 shall apply.
- 50. Dispute Resolution**
- 50.1. Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the SCC.

B. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT

- 51. Effectiveness of Contract**
- 51.1. This Contract shall come into force and effect on the date (the “Effective Date”) of the Client’s notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SCC have been met.
- 52. Termination of Contract for Failure to Become Effective**
- 52.1. If this Contract has not become effective within such time period after the date of Contract signature as specified in the SCC, either Party may, by not less than twenty-two (22) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.
- 53. Commencement of Services**
- 53.1. The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than the number of days after the Effective Date specified in the SCC.
- 54. Expiration of Contract**
- 54.1. Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of such time period after the Effective Date as specified in the SCC.

- 55. Entire Agreement** 55.1. This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.
- 56. Modifications or Variations** 56.1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.
- 56.2. In cases of substantial modifications or variations, the prior written consent of the Bank is required.
- 57. Force Majeure**
- a. Definition** 57.1. For the purposes of this Contract, “Force Majeure” means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party’s performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action confiscation or any other action by Government agencies.
- 57.2. Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party’s Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.
- 57.3. Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.
- b. No Breach of Contract** 57.4. The failure of a Party to fulfil any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

c. Measures to be Taken

57.5. A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.

57.6. A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.

57.7. Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

57.8. During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Client, shall either:

(a) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Client, in reactivating the Services; or

(b) continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.

57.9. In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the matter shall be settled according to Clauses GCC 49 & 50.

58. Suspension

58.1. The Client may, by written notice of suspension to the Consultant, suspend part or all payments to the Consultant hereunder if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

59. Termination

59.1. This Contract may be terminated by either Party as per provisions set up below:

- a. By the Client** 59.1.1. The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and at least five (5) calendar days' written notice in case of the event referred to in (f):
- a) If the Consultant fails to remedy a failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GCC 18;
 - b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
 - c) If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 50.1;
 - d) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
 - e) If the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
 - f) If the Consultant fails to confirm availability of Key Experts as required in Clause GCC 13.
- 59.1.2. Furthermore, if the Client determines that the Consultant has engaged in Fraud and Corruption in competing for or in executing the Contract, then the Client may, after giving fourteen (14) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.
- b. By the Consultant** 59.1.3. The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.
- a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause GCC 45.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
 - b) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of

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- not less than sixty (60) calendar days.
- c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 50.1.
 - d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach.
- c. Cessation of Rights and Obligations** 59.1.4. Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 22, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 25 and to cooperate and assist in any inspection or investigation, and (iv) any right which a Party may have under the Applicable Law.
- d. Cessation of Services** 59.1.5. Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Client, the Consultant shall proceed as provided, respectively, by Clauses GCC 27 or GCC 28.
- e. Payment upon Termination** 59.1.6. Upon termination of this Contract, the Client shall make the following payments to the Consultant:
- a) payment for Services satisfactorily performed prior to the effective date of termination; and
 - b) in the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

C. OBLIGATIONS OF THE CONSULTANT

60. General

- a. Standard of Performance**
- 60.1. The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with the third parties.
- 60.2. The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.
- 60.3. The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Client. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.
- b. Law Applicable to Services**
- 60.4. The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.
- 60.5. Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in the Client's country when
- a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country; or
 - b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
- 60.6. The Client shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.

- 61. Conflict of Interest** 61.1. The Consultant shall hold the Client's interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.
- a. Consultant Not to Benefit from Commissions, Discounts, etc.** 61.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 43 through 47) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.
- 61.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant shall comply with the Bank's Applicable Regulations, and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Client.
- b. Consultant and Affiliates Not to Engage in Certain Activities** 61.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or non-consulting services resulting from or directly related to the Consultant's Services for the preparation or implementation of the project.
- c. Prohibition of Conflicting Activities** 61.1.4 The Consultant shall not engage, and shall cause its Experts as well as its Sub-consultants not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract.
- d. Strict Duty to Disclose Conflicting** 61.1.5 The Consultant has an obligation and shall ensure that its Experts and Sub-consultants shall have an obligation to

- Activities** disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Client, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.
- 62. Confidentiality** 62.1. Except with the prior written consent of the Client, the Consultant and the Experts shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or as a result of, the Services.
- 63. Liability of the Consultant** 63.1. Subject to additional provisions, if any, set forth in the SCC, the Consultant's liability under this Contract shall be provided by the Applicable Law.
- 64. Insurance to be taken out by the Consultant** 64.1. The Consultant (i) shall take out and maintain, and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage specified in the SCC, and (ii) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC 13.
- 65. Accounting, Inspection and Auditing** 65.1. The Consultant shall keep, and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.
- 65.2. Pursuant to paragraph 2.2 e. of Attachment 1 to the General Conditions, the Consultant shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Consultant's and its Subcontractors' and subconsultants' attention is drawn to Clause GCC 10.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the

Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).

**66. Reporting
Obligations**

66.1. The Consultant shall submit to the Client the reports and documents specified in **Appendix A**, in the form, in the numbers and within the time periods set forth in the said Appendix.

**67. Proprietary Rights of the
Client in Reports and
Records**

67.1. Unless otherwise indicated in the **SCC**, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Client in the course of the Services shall be confidential and become and remain the absolute property of the Client. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Client.

67.2. If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain the Client's prior written approval to such agreements, and the Client shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shall be specified in the **SCC**.

**68. Equipment,
Vehicles and
Materials**

- 68.1. Equipment, vehicles and materials made available to the Consultant by the Client, or purchased by the Consultant wholly or partly with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Client an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Client's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Client in writing, shall insure them at the expense of the Client in an amount equal to their full replacement value.
- 68.2. Any equipment or materials brought by the Consultant or its Experts into the Client's country for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

69. Code of Conduct

Not used

70. Forced Labor

- 70.1. The Consultant, including its Subconsultants, shall not employ or engage forced labor. Forced labor consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labor, such as indentured labor, bonded labor or similar labor-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harboring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

71. Child Labor

- 71.1. The Consultant, including its Subconsultants, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Consultant, including its Subconsultants, shall not employ

or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Consultant, including its Subconsultants, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Consultant with the Client's consent. The Consultant shall be subject to regular monitoring by the Client that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- a) with exposure to physical, psychological or sexual abuse;
- b) underground, underwater, working at heights or in confined spaces;
- c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

72. Non-Discrimination and Equal Opportunity

72.1. The Consultant shall not make decisions relating to the employment or treatment of Experts on the basis of personal characteristics unrelated to inherent job requirements. The Consultant shall base the employment of Experts on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past

discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Consultant shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with Clause GCC 31).

73. Training of Experts

73.1. The Consultant shall provide appropriate sensitization to the Experts on social aspects of the Contract, including on prohibition of SEA and SH.

73.2. The Consultant shall provide training on SEA and SH, including its prevention, to any of its Experts who has a role to supervise other Experts.

D. CONSULTANT’S EXPERTS AND SUB-CONSULTANTS

74. Description of Key Experts

74.1. The title, agreed job description, minimum qualification and estimated period of engagement to carry out the Services of each of the Consultant’s Key Experts are described in **Appendix B**.

75. Replacement of Key Experts

75.1. Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts.

75.2. Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant’s written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

76. Removal of Experts or Sub-consultants

76.1. If the Client finds that any of the Experts or Sub-consultant:

- a) persists in any misconduct or lack of care;
- b) carries out duties incompetently or negligently;
- c) fails to comply with any provision of the Contract;

- d) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Services;
 - e) the Consultant shall, at the Client's written request, provide a replacement.
- 76.2. In the event that any of Key Experts, Non-Key Experts or Sub-consultants is found by the Client to be incompetent or incapable in discharging assigned duties, the Client, specifying the grounds therefore, may request the Consultant to provide a replacement.
- 76.3. Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be acceptable to the Client.
- 76.4. Subject to the requirements in Clause GCC 36.3, and notwithstanding any requirement from the Client to request a replacement, the Consultant shall take immediate action as appropriate in response to any violation of (a) through (e) above. Such immediate action shall include removing (or causing to be removed) from carrying out the Services, any Expert who engages in (a) to (e) above.
- 76.5. The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

E. OBLIGATIONS OF THE CLIENT

77. Assistance and Exemptions

- 77.1. Unless otherwise specified in the SCC, the Client shall use its best efforts to:
- a) Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
 - b) Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Client's country while carrying out the Services under the Contract.
 - c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.

- d) Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
- e) Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in the Client's country according to the applicable law in the Client's country.
- f) Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in the Client's country, of bringing into the Client's country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
- g) Provide to the Consultant any such other assistance as may be specified in the SCC.

78. Access to Project Site

78.1. The Client warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of them.

79. Change in the Applicable Law Related to Taxes and Duties

79.1. If, after the date of this Contract, there is any change in the applicable law in the Client's country with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the Contract price

amount specified in Clause GCC 39.1.

- 80. Services, Facilities and Property of the Client** 80.1. The Client shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (**Appendix A**) at the times and in the manner specified in said **Appendix A**.
- 81. Counterpart Personnel** 81.1. The Client shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Client with the Consultant's advice, if specified in **Appendix A**.
- 81.2. Professional and support counterpart personnel, excluding Client's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Client shall not unreasonably refuse to act upon such request.
- 82. Payment Obligation** 82.1. In consideration of the Services performed by the Consultant under this Contract, the Client shall make such payments to the Consultant for the deliverables specified in **Appendix A** and in such manner as is provided by GCC F below.

F. PAYMENTS TO THE CONSULTANT

- 83. Contract Price** 83.1. The Contract price is fixed and is set forth in the **SCC**. The Contract price breakdown is provided in **Appendix C**.
- 83.2. Any change to the Contract price specified in Clause GCC 43.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing the Terms of Reference in **Appendix A**.
- 84. Taxes and Duties** 84.1. The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract unless it is stated otherwise in the **SCC**.
- 84.2. As an exception to the above and as stated in the **SCC**, all local identifiable indirect taxes (itemized and finalized at

Contract negotiations) are reimbursed to the Consultant or are paid by the Client on behalf of the Consultant.

85. Currency of Payment

85.1. Any payment under this Contract shall be made in the currency (ies) of the Contract.

86. Mode of Billing and Payment

86.1. The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 43.1.

86.2. The payments under this Contract shall be made in lump-sum instalments against deliverables specified in **Appendix A**. The payments will be made according to the payment schedule stated in the **SCC**.

46.2.1 *Advance payment:* Unless otherwise indicated in the **SCC**, an advance payment shall be made against an advance payment bank guarantee acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the **SCC**. Such guarantee (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in **Appendix D**, or in such other form as the Client shall have approved in writing. The advance payments will be set off by the Client in equal portions against the lump-sum installments specified in the **SCC** until said advance payments have been fully set off.

46.2.2 *The Lump-Sum Installment Payments.* The Client shall pay the Consultant within sixty (60) days after the receipt by the Client of the deliverable(s) and the cover invoice for the related lump-sum installment payment. The payment can be withheld if the Client does not approve the submitted deliverable(s) as satisfactory in which case the Client shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

46.2.3 *The Final Payment.* The final payment under this Clause shall be made only after the final report have been submitted by the Consultant and approved as satisfactory by the Client. The Services shall then be deemed completed and finally accepted by the Client. The last lump-sum installment shall be deemed approved for payment by the Client within

ninety (90) calendar days after receipt of the final report by the Client unless the Client, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

46.2.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC.

46.2.5 With the exception of the final payment under 46.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations hereunder.

87. Interest on Delayed Payments

87.1. If the Client had delayed payments beyond fifteen (15) days after the due date stated in Clause GCC 46.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

G. FAIRNESS AND GOOD FAITH

88. Good Faith

88.1. The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

H. SETTLEMENT OF DISPUTES

89. Amicable Settlement

89.1. The Parties shall seek to resolve any dispute amicably by mutual consultation.

89.2. If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fourteen (14) days after receipt. If that Party fails to respond within fourteen (14) days, or the dispute cannot be amicably settled within fourteen (14) days following the response of that Party, Clause GCC 50.1 shall

apply.

- 90. Dispute Resolution** 90.1. Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the SCC.

II. General Conditions

Attachment 1

Fraud and Corruption

(Text in this Attachment shall not be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); Consultants (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

a. Defines, for the purposes of this provision, the terms set forth below as follows:

- i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
- ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
- iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or

- intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
- (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) Consultants (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants,

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the Consultant in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the Consultant to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

service providers, suppliers, agents personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

III. Special Conditions of Contract

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
1.1(a)	The Contract shall be construed in accordance with the law of India
4.1	The language is: English.
6.1 and 6.2	<p>The addresses are <i>[fill in at negotiations with the selected firm]</i>:</p> <p>Client: The Municipal Commissioner, Ichalkaranji Municipal Corporation Bharat Ratna Atal Bihari Vajpayee Chouk, Station Road, Ichalkaranji-416115 Attention: Mr. Omprakash Divate, Commissioner, IMC E-mail: ichalkaranjinp@gmail.com</p> <p>Consultant: _____ _____</p> <p>Attention: _____</p> <p>Facsimile: _____</p> <p>E-mail (where permitted): _____</p>
8.1	N/A
9.1	<p>The Authorized Representatives are:</p> <p>For the Client: Mr. Omprakash Divate, Commissioner, IMC The Municipal Commissioner, Ichalkaranji Municipal Corporation Bharat Ratna Atal Bihari Vajpayee Chouk, Station Road, Ichalkaranji-416115</p> <p>For the Consultant: <i>[name, title]</i> _____</p>
11.1	The effectiveness conditions are the following: Signing of Contract

	by both the parties, after due approvals, Confirmation of Key Experts' availability to start the Assignment and submission of professional liability insurance
12.1	Termination of Contract for Failure to Become Effective: The time period shall be Thirty (30) days.
13.1	Commencement of Services: The number of days shall be 10 days. Confirmation of Key Experts' availability to start the Assignment shall be submitted to the Client in writing as a written statement signed by each Key Expert.
14.1	Expiration of Contract: The time period shall be 5 months for DPR and 30 days spread over the construction period
21 b.	The Client reserves the right to determine on a case-by-case basis whether the Consultant should be disqualified from providing goods, works or non-consulting services due to a conflict of a nature described in Clause GCC 21.1.3 Yes

23.1	<p>The following limitation of the Consultant's Liability towards the Client can be subject to the Contract's negotiations:</p> <p>“Limitation of the Consultant's Liability towards the Client:</p> <p>(a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Client's property, shall not be liable to the Client:</p> <p style="padding-left: 40px;">(i) for any indirect or consequential loss or damage; and</p> <p style="padding-left: 40px;">(ii) for any direct loss or damage that exceeds the total value of the Contract;</p> <p>(b) This limitation of liability shall not</p> <p style="padding-left: 40px;">(i) affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services;</p> <p style="padding-left: 40px;">(ii) be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the Applicable Law in India”.</p>
24.1	<p>The insurance coverage against the risks shall be as follows:</p> <p><i>[Note: Delete what is not applicable except (a)].</i></p> <p>(a) Professional liability insurance, with a minimum coverage of an amount equal to 100% of contract value.</p> <p>(b) Third Party motor vehicle liability insurance in respect of motor vehicles operated in the Client's country by the Consultant or its Experts or Sub-consultants, with a minimum coverage as per the latest Amended Motor Vehicles Act, India;</p> <p>(c) Third Party liability insurance, with a minimum coverage of <i>in accordance with the applicable law in India</i>;</p>

	<p>(d) employer’s liability and workers’ compensation insurance in respect of the experts and Sub-consultants in accordance with the relevant provisions of the applicable law in the Client’s country, as well as, with respect to such Experts, any such life, health, accident, travel or other insurance as may be appropriate; and</p> <p>(e) insurance against loss of or damage to (i) equipment purchased in whole or in part with funds provided under this Contract, (ii) the Consultant’s property used in the performance of the Services, and (iii) any documents prepared by the Consultant in the performance of the Services.</p>
27.1	<i>Not Applicable.</i>
27.2	The Consultant shall not use these <i>data, documents and software</i> for purposes unrelated to this Contract without the prior written approval of the Client.
37.1 (a) through (f)	<i>Clause 37.1 (c) of GCC shall stand replaced by: “Facilitate prompt clearance through customs of any property required for the Services”</i>
43.1	<p>The Contract price is: Rs. INR -----million including all applicable taxes such as GST etc. _____ <i>[insert amount]</i> of local indirect taxes.</p> <p>Any indirect local taxes chargeable in respect of this Contract for the Services provided by the Consultant shall be paid by the Consultant. The Client shall only reimburse Goods & Services Tax (GST) payable on the contract value by the consultants, as per Applicable Law in India subject to the Client, performing such duties in regard to the deduction of taxes as may be lawfully imposed. However, Consultant shall have to produce to the Client, all relevant documents establishing the proof of payment/ filing of return to the tax authority etc. The consultant shall register itself for GST with appropriate authority in India & shall provide the Registration Number to the Client.</p> <p>The amount of such taxes is _____ <i>[insert the</i></p>

	<i>amount as finalized at the Contract's negotiations on the basis of the estimates provided by the Consultant in Form FIN-2 of the Consultant's Financial Proposal.]</i>
44.1 and 44.2	<p>The consultants, sub-consultants and the Personnel shall pay the taxes, taxes, duties, fees, levies and other impositions levied under the existing, amended or enacted laws during life of this contract and the client shall perform such duties in this regard to the deduction of such taxes as may be lawfully imposed.</p> <p>The Client shall only reimburse the Goods and Services Tax (GST) payable on the contract value by the consultants, as per Applicable Law in India, subject to the Client, performing such duties in regard to the deduction of taxes as may be lawfully imposed. However, Consultant shall have to produce to the Client, all relevant documents establishing the proof of payment/ filing of return to the tax authority etc.</p>
46.2	The Payment Schedule: As per TOR
46.2.1	NA
46.2.4	<p>The account is:</p> <p><i>[insert account]</i> for Rs.</p>
47.1	The interest rate is: 4.75% Percent per Annum.
50.1	<p>Disputes shall be settled by arbitration in accordance with the following provisions:</p> <ol style="list-style-type: none"> 1. <u>Selection of Arbitrators.</u> Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator or an arbitration panel composed of three (3) arbitrators, in accordance with the following provisions: <ol style="list-style-type: none"> (a) Where the Parties agree that the dispute concerns a technical matter, they may agree to appoint a sole arbitrator or, failing agreement on the identity of such sole arbitrator within thirty (30) days after receipt by the other Party of the proposal of a name for such an appointment by the Party

	<p>who initiated the proceedings, either Party may apply to <i>Mumbai Centre for International Arbitration (MCIA)</i> for a list of not fewer than five (5) nominees and, on receipt of such list, the Parties shall alternately strike names therefrom, and the last remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, <i>Indian Council of Arbitration</i> shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute.</p> <p>(b) Where the Parties do not agree that the dispute concerns a technical matter, the Client and the Consultant shall each appoint one (1) arbitrator, and these two arbitrators shall jointly appoint a third arbitrator, who shall chair the arbitration panel. If the arbitrators named by the Parties do not succeed in appointing a third arbitrator within thirty (30) days after the latter of the two (2) arbitrators named by the Parties has been appointed, the third arbitrator shall, at the request of either Party, be appointed by <i>Indian Council of Arbitration</i>.</p> <p>(c) If, in a dispute subject to paragraph (b) above, one Party fails to appoint its arbitrator within thirty (30) days after the other Party has appointed its arbitrator, the Party which has named an arbitrator may apply to the <i>Indian Council of Arbitration</i> to appoint a sole arbitrator for the matter in dispute, and the arbitrator appointed pursuant to such application shall be the sole arbitrator for that dispute.</p>
	<p>2. <u>Rules of Procedure.</u> Arbitration proceedings shall be conducted in accordance with the procedure of the Arbitration & Conciliation Act 1996, of India.</p> <p>3. <u>Substitute Arbitrators.</u> If for any reason an arbitrator is unable to perform his/her function, a substitute shall be appointed in the same manner as the original arbitrator.</p> <p>4. <u>Nationality and Qualifications of Arbitrators.</u> The sole arbitrator or the third arbitrator appointed pursuant to paragraphs 1(a)</p>

	<p>through 1(c) above shall be a recognized legal or technical expert with extensive experience in relation to the matter in dispute.</p>
	<p>5. <u>Miscellaneous</u>. In any arbitration proceeding hereunder:</p> <ul style="list-style-type: none"> (a) proceedings shall, unless otherwise agreed by the Parties, be held in the city where the contract is signed; (b) the English language shall be the official language for all purposes; (c) the decision of the sole arbitrator or of a majority of the arbitrators (or of the third arbitrator if there is no such majority) shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement. (d) Where the value of the contract is Rs.50 million and below, the disputes or differences arising shall be referred to the Sole Arbitrator. The Sole Arbitrator should be appointed by agreement between the parties; failing such agreement, by the appointing authority, namely the Indian Council of Arbitration. (e) The Arbitrator should give final award within 120 days of starting of the proceedings (f) Performance under the contract shall continue during the arbitration proceedings and payments due to the consultant by the Client shall not be withheld, unless they are the subject matter of the arbitration proceedings. <p>The arbitral tribunal shall consist of 3 Arbitrators, arbitration proceedings shall be held at Mumbai, India and the language of the arbitration proceedings and that of all documents and communications between the parties shall be English". <i>[ICA rules provide for arbitration tribunal of 3 arbitrators if the value of claim is over Rs 10 Million unless the parties have agreed otherwise for a sole arbitrator]</i>.</p>

IV. Appendices

APPENDIX A – TERMS OF REFERENCE

[This Appendix shall include the final Terms of Reference (TORs) worked out by the Client and the Consultant during the negotiations; dates for completion of various tasks; location of performance for different tasks; detailed reporting requirements and list of deliverables against which the payments to the Consultant will be made; Client's input, including counterpart personnel assigned by the Client to work on the Consultant's team; specific tasks or actions that require prior approval by the Client.]

Insert the text based on the Section 7 (Terms of Reference) of the ITC in the RFP and modified based on the Forms TECH-1 through TECH-5 of the Consultant's Proposal. Highlight the changes to Section 7 of the RFP]

.....

APPENDIX B - KEY EXPERTS

[Insert a table based on Form TECH-6 of the Consultant's Technical Proposal and finalized at the Contract's negotiations. Attach the CVs (updated and signed by the respective Key Experts) demonstrating the qualifications of Key Experts.]

.....

APPENDIX C – BREAKDOWN OF CONTRACT PRICE

[Insert the table with the unit rates to arrive at the breakdown of the lump-sum price. The table shall be based on [Form FIN-3 and FIN-4] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [Form FIN-3 and FIN-4] at the negotiations or state that none has been made.]

When the Consultant has been selected under Quality-Based Selection method, also add the following:

“The agreed remuneration rates shall be stated in the attached Model Form I. This form shall be prepared on the basis of Appendix A to Form FIN-3 of the RFP “Consultants’ Representations regarding Costs and Charges” submitted by the Consultant to the Client prior to the Contract’s negotiations.

Should these representations be found by the Client (either through inspections or audits pursuant to Clause GCC 25.2 or through other means) to be materially incomplete or inaccurate, the Client shall be entitled to introduce appropriate modifications in the remuneration rates affected by such materially incomplete or inaccurate representations. Any such modification shall have retroactive effect and, in case remuneration has already been paid by the Client before any such modification, (i) the Client shall be entitled to offset any excess payment against the next monthly payment to the Consultants, or (ii) if there are no further payments to be made by the Client to the Consultants, the Consultants shall reimburse to the Client any excess payment within thirty (30) days of receipt of a written claim of the Client. Any such claim by the Client for reimbursement must be made within twelve (12) calendar months after receipt by the Client of a final report and a final statement approved by the Client in accordance with Clause GCC 46.2.3 of this Contract.”]

Model Form I
Breakdown of Agreed Fixed Rates in Consultant's Contract

We hereby confirm that we have agreed to pay to the Experts listed, who will be involved in performing the Services, the basic fees and away from the home office allowances (if applicable) indicated below:

(Expressed in Rs. _____)

Experts		1	2	3	4	5	6	7	8
Name	Position	Basic Remuneration rate per Working Month/Day/Year	Social Charges ¹	Overhead ¹	Subtotal	Profit ²	Away from Home Office Allowance	Agreed Fixed Rate per Working Month/Day/Hour	Agreed Fixed Rate per Working Month/Day/Hour ¹
Home Office									
Work in the Client's Country									

1 Expressed as percentage of 1

2 Expressed as percentage of 4

Signature

Date

Name and Title: _____

APPENDIX D - FORM OF ADVANCE PAYMENTS GUARANTEE

[See Clause GCC 42.2.1 and SCC 46.2.1]

{Guarantor letterhead or SWIFT identifier code}

Bank Guarantee for Advance Payment

Guarantor: _____ *[insert commercial Bank's Name, and Address of Issuing Branch or Office]*

Beneficiary: _____ *[insert Name and Address of Client]*

Date: _____ *[insert date]* _____

ADVANCE PAYMENT GUARANTEE No.: _____ *[insert number]* _____

We have been informed that _____ *[name of Consultant or a name of the Joint Venture, same as appears on the signed Contract]* (hereinafter called "the Consultant") has entered into Contract No. _____ *[reference number of the contract]* dated _____ *[insert date]* _____ with the Beneficiary, for the provision of _____ *[brief description of Services]* (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of _____ *[insert amount in figures]* (_____ *[amount in words]*) is to be made against an advance payment guarantee.

At the request of the Consultant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ *[amount in figures]* (_____ *[amount in words]*)¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's a written statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Consultant is in breach of its obligation under the Contract because the Consultant:

- (a) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Consultant has failed to repay;
- (b) has used the advance payment for purposes other than toward providing the Services under the Contract.

¹ The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Client.

It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Consultant on their account number _____ at _____ *[name and address of bank]*.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant as indicated in certified statements or invoices marked as “paid” by the Client which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of the payment certificate or paid invoice indicating that the Consultant has made full repayment of the amount of the advance payment, or on the ___ day of *[month]* _____, *[year]* __,² whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 revision, ICC Publication No. 758.

[signature(s)]

{Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.}

² Insert the expected expiration date. In the event of an extension of the time for completion of the Contract, the Client would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Client might consider adding the following text to the form, at the end of the penultimate paragraph: “The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Client’s written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee.”
